



AUCTIONEER LICENSE APPLICATION

PUBLIC SERVICE COMMISSION

SFN 10456 (02/2018)

APPLICANT: The following lists the auctioneer licensing standards and the required items that must accompany this application when submitted.

- A COMPLETE application packet MUST be filed at least 10 days prior to the first auction sale the applicant is to call/cry. N.D.C.C. § 51-05.1-01.1
- An auctioneer is required to have a written contract prior to the auction sale. N.D.C.C. § 51-05.1-04.1

N.D.C.C. § 51-05.1-02. License standards.

1. Licenses may be granted only to persons who bear a good reputation for honesty, truthfulness, and fair dealing and who are competent to transact the business of an auctioneer or a clerk.
2. An applicant for a license must be at least eighteen years of age. Every applicant for a license as an auctioneer shall:
 - a. Have been actively engaged as a licensed auctioneer for a period of at least one year preceding the date of application; or
 - b. Furnish proof of satisfactory completion of an approved course of study relating to auctioneers.

Required Items:

- \$35.00 **NON-REFUNDABLE** license fee payable to the "Public Service Commission"
- Two (2) completed Auctioneer/Auction Clerk Reference forms (SFN 18743)
- \$5,000 Auctioneer Surety Bond (SFN 10455) **with attached valid power of attorney from surety.**
- Copy of Auction School Certificate showing successful completion of course of study.
- OR -
- Proof of having been actively engaged as a licensed auctioneer for a period of at least one year.

Year

FOR COMMISSION USE ONLY
Entity Number:
Receipt Number:
Amount:
License Approved:
License Number:
License Period:
Issue Date:

Name (Last, First, Middle) – Must be the same name as on the bond.				Date of Birth
Other Names You Have Used				Telephone Number
Address				Other Telephone Number
City	State	ZIP Code	Email Address	

Bank Reference Name			Telephone Number	
Address		City	State	ZIP Code
Email Address				

MARK "X" TO ANSWER THE FOLLOWING QUESTIONS		
<p>When responding to any question asking if an event occurred in any jurisdiction, include any event that occurred in any country, state, county, city, town, village, township, municipality, or tribal government.</p> <p>The term "Convicted" includes having been found guilty by verdict of a judge or jury, having entered a plea of guilty, <i>nolo contendere</i> or no contest, or having had probation, a suspended sentence, or a fine imposed.</p> <p>If you answer YES to questions 2, 3, or 4, then you must:</p> <ol style="list-style-type: none"> a. provide a statement explaining the circumstances of each incident, b. attach a copy of the charging document, c. attach a copy of the official document that demonstrates the final resolution of the charges and any final judgment. 	YES	NO
1. Have you ever held, applied for, or been denied an auctioneer license in North Dakota or any other jurisdiction? If yes, attach explanation.		
2. Have you ever been convicted of a felony, had a felony conviction judgment withheld or deferred, or are you currently charged with having committed a felony? <i>You may exclude juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court).</i>		

MARK "X" TO ANSWER THE FOLLOWING QUESTIONS					YES	NO
3. Have you ever been convicted of a misdemeanor, had a misdemeanor conviction judgment withheld or deferred, or are you currently charged with having committed a misdemeanor? <i>You may exclude traffic citations and juvenile adjudications (offenses where you were adjudicated delinquent in a juvenile court).</i>						
4. Have you ever been convicted of a military offense, had a military offense judgment withheld or deferred, or are you currently charged with having committed a military offense?						
5. Have you completed an approved course of study for auctioneers?						
6. Have you ever been bonded in this or any other jurisdiction?						
7. If you answered yes to question 6, has there ever been a loss paid in this or any other jurisdiction on any bond in which you are or were the principal, or is any claim for loss pending? If yes, attach explanation.						
8. Have you ever had a professional or occupational registration or license suspended or revoked in this or any other jurisdiction? If yes, attach explanation.						
9. Are you currently registered or licensed in any other occupation or profession in this or any other jurisdiction? If yes, please complete the information below.						
License/Registration Type	License/Registration Number	Issued Date	Expiration Date	Issuing Authority		

By signing this renewal, I acknowledge:

- a. to my knowledge, the facts stated are true and correct,
- b. the Commission is authorized to investigate my credit background, in conjunction with this renewal, and
- c. the persons or entities referenced are authorized to disclose information regarding this renewal and attachments to the Public Service Commission.

Signature of Applicant	Date
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State of	County of
Signed and sworn to (or Affirmed) before me this day of	
Name(s) of Individual(s) Making Statement	Affix Notary Stamp
Signature of Notary Public or Other Authorized Officer	
Commission Expiration Date	

Submit to: Public Service Commission
 Compliance Division
 600 E. Boulevard Ave. Dept 408
 Bismarck, ND 58505-0480

Telephone: 701-328-2400
 Fax: 701-328-2410
 Email Address: pscauctionlicense@nd.gov
 Website: www.psc.nd.gov