



BANK AUCTION CLERK LICENSE APPLICATION

PUBLIC SERVICE COMMISSION

SFN 10460 (02/2018)

APPLICANT: The following lists the auction clerk licensing standards and the required items that must accompany this application when submitted.

- A COMPLETE application packet MUST be filed at least 10 days prior to the first auction sale the applicant is to clerk. N.D.C.C. § 51-05.1-01.1
- An auction clerk is required to have a written contract prior to the auction sale. N.D.C.C. § 51-05.1-04.1

N.D.C.C. § 51-05.1-02. License standards.

1. Licenses may be granted only to persons who bear a good reputation for honesty, truthfulness, and fair dealing and who are competent to transact the business of an auctioneer or a clerk.
2. An applicant for a license must be at least eighteen years of age.

Required Items:

- \$35.00 **NON-REFUNDABLE** license fee payable to the "Public Service Commission"

Year

FOR COMMISSION USE ONLY

Entity Number:

Receipt Number:

Amount:

License Approved:

License Number:

License Period:

Issue Date:

Name of Bank		Telephone Number	
Physical Address	City	State	ZIP Code
Mailing Address (If different than physical)	City	State	ZIP Code

Name of Person Completing Application	Title/Position
Telephone Number	Email Address

LIST ALL OFFICERS OF THE BANK WHO WILL ACT AS AUCTION CLERKS

LIST ALL EMPLOYEES OF THE BANK WHO WILL ACT AS AUCTION CLERKS

MARK "X" TO ANSWER THE FOLLOWING QUESTIONS

The term **"Convicted"** includes having been found guilty by verdict of a judge or jury, having entered a plea of guilty, *nolo contendere* or no contest, or having had probation, a suspended sentence, or a fine imposed.

If you answer YES to question 1, then you must:

- a. provide a statement explaining the circumstances of each incident,
- b. attach a copy of the charging document,
- c. attach a copy of the official document that demonstrates the final resolution of the charges and any final judgment.

YES**NO**

1. Have you, or has any person employed by the bank who is to act as an auction clerk, ever been convicted of or currently charged with forgery, embezzlement, obtaining money under false pretenses, bribery, larceny, conversion, issuing bad checks, extortion, criminal conspiracy to defraud, or other like offense?

2. Is the auction clerk's escrow account maintained at licensee's North Dakota federally insured bank or depository? If no, provide name and address where account is maintained below.

Name of ND Federally Insured Bank or Depository for Trust Account

Telephone Number

Address

City

State

ZIP Code

Email Address

By signing this renewal, I acknowledge that to my knowledge, the facts stated are true and correct.

Signature of Applicant

Date

State of	County of
Signed and sworn to (or Affirmed) before me this day of	
Name(s) of Individual(s) Making Statement	
Signature of Notary Public or Other Authorized Officer	
Commission Expiration Date	

Affix Notary Stamp

Submit to: Public Service Commission
Compliance Division
600 E. Boulevard Ave. Dept 408
Bismarck, ND 58505-0480

Telephone: 701-328-2400
Fax: 701-328-2410
Email Address: pscauctionlicense@nd.gov
Website: www.psc.nd.gov