



October 8, 2013

Pat Fahn, Director  
Compliance and Competitive Markets  
ND Public Service Commission  
12<sup>th</sup> Floor State Capitol  
600 East Boulevard Avenue, Dept 408  
Bismarck, ND 58505-0480



Re: USF Certification – Case Nos. PU-439-01-460 and PU-439-02-441  
WC Docket 10-90

Dear Mr. Fahn:

Enclosed for filing in accordance with the Commission's Order of August 28, 2002, in the above referenced proceeding, is an Affidavit certifying that all federal high-cost support provided to SRT Communications, Inc. was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended. In making this filing, the undersigned company is at this time neither contesting nor conceding the jurisdiction of the North Dakota Public Service Commission to act in the proceeding.

Also enclosed is FCC Form 481, Carrier Annual Reporting Data Collection Form, for the 2014 Program Year, pursuant to 47 C.F.R., §54.202, §54.313, and §54.422. This form has also been filed with the Universal Service Administrative Company, and the Federal Communications Commission.

Sincerely,

A handwritten signature in blue ink, appearing to read "A. D. Lysne".

Steven D. Lysne  
CEO/General Manager  
SRT Communications, Inc.

Enclosure

3 PU-13-374 Filed 10/10/2013 Pages: 39  
Copy of FCC 47CFR Section 54.313 Annual Report  
SRT Communications, Inc.

**BEFORE THE NORTH DAKOTA PUBLIC SERVICE COMMISSION  
IN CASE NO. PU-439-02-441 AND BEFORE THE  
FEDERAL COMMUNICATIONS COMMISSION IN DOCKET NO. 96-45**

**AFFIDAVIT OF SRT COMMUNICATIONS, INC. REGARDING  
CERTIFICATION OF USE OF FEDERAL UNIVERSAL SERVICE SUPPORT  
WC DOCKET NO. 10-90**

State of North Dakota            )  
  ) ss.  
County of Ward                    )

Steven D. Lysne, being first duly sworn on oath, does hereby declare and state upon affiant's own personal knowledge as follows:

1. Affiant is Manager of SRT Communications, Inc. (hereinafter, the "Company"), in the position of CEO/General Manager.
2. The Company is an eligible telecommunications carrier within the meaning of Section 214(e) of the Federal Telecommunications Act of 1996 (hereinafter, the "Act"), and the Company is eligible to receive federal high-cost universal service support (hereinafter, the "Support") pursuant to Section 254(e) of the Act. The North Dakota Public Service Commission (hereinafter, the "NDPSC") has designated the Company as an eligible telecommunications carrier.
3. Affiant is personally familiar with all of the Support received by the Company, and with how the Company uses all of Support that it receives.
4. The Company is filing this affidavit in compliance with the order of the Federal Communications Commission (hereinafter, "FCC") dated May 23, 2001 in Docket Number 96-45 (FCC 01-157) (hereinafter, the "Order"), as codified in 47 C.F.R. §54.314, which requires certification to the FCC that all federal Support was used in the preceding calendar year and will be used in the coming calendar year by carriers within each state only for the provision, maintenance, and upgrading of facilities and services for which the support is intended, as required by Section 254(e) of the Act and 47 C.F.R. §54.7. The Company is also filing this affidavit in compliance with the Orders of the NDPSC dated August 28, 2001, in Case No. PU-439-01-460 and dated August 28, 2002, in Case No. PU-439-02-441. This affidavit is filed to facilitate the Company's receipt of federal Support for all four quarters of 2013, and for all quarters thereafter.



<010> Study Area Code 383303

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<015> Study Area Name SRT COMMUNICATIONS

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<020> Program Year 2014

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<030> Contact Name: Person USAC should contact with questions about this data JULIE LIZOTTE

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<035> Contact Telephone Number: Number of the person identified in data line <030> 701-858-5233

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<039> Contact Email Address: Email of the person identified in data line <030> julieel@srttel.com

<b>ANNUAL REPORTING FOR ALL CARRIERS</b>	<b>54.313</b> Completion Required	<b>54.422</b> Completion Required
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<p>&lt;100&gt; Service Quality Improvement Reporting <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;200&gt; Outage Reporting (voice) <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;210&gt; <input checked="" type="checkbox"/> &lt;-- check box if no outages to report</p>		
<p>&lt;300&gt; Unfulfilled Service Requests (voice) <span style="float: right;"><i>(attach descriptive document)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;310&gt;       Detail on Attempts (voice)</p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;320&gt; Unfulfilled Service Requests (broadband)</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;330&gt;       Detail on Attempts (broadband) <span style="float: right;"><i>(attach descriptive document)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;400&gt; Number of Complaints per 1,000 customers (voice)</p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;410&gt;       Fixed <input type="text" value="0.0"/></p>		
<p>&lt;420&gt;       Mobile <input type="text" value="0.0"/></p>		
<p>&lt;430&gt; Number of Complaints per 1,000 customers (broadband)</p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;440&gt;       Fixed <input type="text"/></p>		
<p>&lt;450&gt;       Mobile <input type="text"/></p>		
<p>&lt;500&gt; Service Quality Standards &amp; Consumer Protection Rules Compliance <span style="float: right;"><i>(check to indicate certification)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;510&gt; <input type="text" value="383303nd510"/> <span style="float: right;"><i>(attached descriptive document)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;600&gt; Functionality in Emergency Situations <span style="float: right;"><i>(check to indicate certification)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;610&gt; <input type="text" value="383303nd610"/> <span style="float: right;"><i>(attached descriptive document)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;700&gt; Company Price Offerings (voice) <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;710&gt; Company Price Offerings (broadband) <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;800&gt; Operating Companies and Affiliates <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<p>&lt;900&gt; Tribal Land Offerings (Y/N)? <input checked="" type="radio"/> <input type="radio"/> <span style="float: right;"><i>(if yes, complete attached worksheet)</i></span></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>&lt;1000&gt; Voice Services Rate Comparability <span style="float: right;"><i>(check to indicate certification)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;1010&gt; <input type="text"/></p>		
<p>&lt;1100&gt; Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/> <span style="float: right;"><i>(if not, check to indicate certification)</i></span></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>&lt;1110&gt; <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;1200&gt; Terms and Condition for Lifeline Customers <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input type="checkbox"/>	<input checked="" type="checkbox"/>

**Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet**  
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<p>&lt;2000&gt; <span style="float: right;"><i>(check to indicate certification)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>
<p>&lt;2005&gt; <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input type="checkbox"/>	<input type="checkbox"/>

**Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet**

<p>&lt;3000&gt; <span style="float: right;"><i>(check to indicate certification)</i></span></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
<p>&lt;3005&gt; <span style="float: right;"><i>(complete attached worksheet)</i></span></p>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

<b>(100) Service Quality Improvement Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com
<110>	Has your company received its ETC certification from the FCC? If your answer to Line <110> is yes, do you have an existing §54.202(a) "5	(yes / no ) <input checked="" type="radio"/> <input type="radio"/>
<111>	year plan" filed with the FCC?	(yes / no ) <input type="radio"/> <input checked="" type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.










<b>(900) Tribal Lands Reporting Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

<910> Tribal Land(s) on which ETC Serves TURTLE MOUNTAIN BAND OF CHIPPEWA INDIANS

<920> Tribal Government Engagement Obligation 383303nd920  
Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select (Yes, No, NA)
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes

**(1100) No Terrestrial Backhaul Reporting Data Collection Form** FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code	383303
<015> Study Area Name	SRT COMMUNICATIONS
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035> Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039> Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

<b>(1200) Terms and Condition for Lifeline Customers</b> <b>Lifeline</b> <b>Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

<1210> Terms & Conditions of Voice Telephony Lifeline Plans 383303nd1210

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Name of attached document (.pdf)

<1220> Link to Public Website HTTP <http://www.srt.com/onlinestore/do/content/lifelineLinkup>

"Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221>
Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
✓
- <1222>
Details on the number of minutes provided as part of the plan,
✓
- <1223>
Additional charges for toll calls, and rates for each such plan.
✓

<b>(2000) Price Cap Carrier Additional Documentation</b> <b>Data Collection Form</b> <i>Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers</i>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

**CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.**

**Incremental Connect America Phase I reporting**

- <2010> 2nd Year Certification {47 CFR § 54.313(b)(1)}
- <2011> 3rd Year Certification {47 CFR § 54.313(b)(2)}

**Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}**

- <2012> 2013 Frozen Support Certification
- <2013> 2014 Frozen Support Certification
- <2014> 2015 Frozen Support Certification
- <2015> 2016 and future Frozen Support Certification

**Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}**

- <2016> Certification Support Used to Build Broadband

**Connect America Phase II Reporting {47 CFR § 54.313(e)}**

- <2017> 3rd year Broadband Service Certification
- <2018> 5th year Broadband Service Certification
- <2019> Interim Progress Certification
- <2020> Please check the box to confirm that the attached PDF , on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.
- <2021> Interim Progress Community Anchor Institutions  Name of Attached Document Listing Required Information \_\_\_\_\_

**(3000) Rate of Return Carrier Additional Documentation**  
**Data Collection Form**  
 FCC Form 481  
 OMB Control No. 3060-0185/OMB Control No. 3060-0319  
 July 2013

<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

CHECK the boxes below to note compliance on its five year service quality plan (pursuant to 47 CFR § 54.202(a)) and, for privately held carriers, ensuring compliance with the financial reporting requirements set forth in 47 CFR § 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

**Progress Report on 5 Year Plan**

<p>(3010) Milestone Certification (47 CFR § 54.313(f)(1)(i)) Please check this box to confirm that the attached PDF, on line 3012, contains the required information pursuant to § 54.313 (f)(1)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.</p>	<p>Name of Attached Document Listing Required Information</p>	<p><input type="checkbox"/></p>
<p>(3012) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii)) (3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2)) (3014) If yes, does your company file the RUS annual report Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:</p>	<p>Name of Attached Document Listing Required Information</p>	<p><input checked="" type="checkbox"/> (Yes/No) <input checked="" type="checkbox"/> (Yes/No)</p>
<p>(3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)</p>		<p><input checked="" type="checkbox"/></p>
<p>(3016) PDF of Balance Sheet, Income Statement and Statement of Cash Flows</p>		<p><input checked="" type="checkbox"/></p>
<p>(3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation</p>	<p>Name of Attached Document Listing Required Information</p>	<p>383303nd3005</p>
<p>(3018) If the response is no on line 3014, Is your company audited?  If the response is yes on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:</p>		<p><input type="checkbox"/> (Yes/No)</p>
<p>(3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications</p>		<p><input type="checkbox"/></p>
<p>(3020) PDF of Balance Sheet, Income Statement and Statement of Cash Flows</p>		<p><input type="checkbox"/></p>
<p>(3021) Management letter issued by the independent certified public accountant that performed the company's financial audit.  If the response is no on line 3018, please check the boxes below to confirm your submission, on line 3026 pursuant to § 54.313(f)(2), contains:</p>		<p><input type="checkbox"/></p>
<p>(3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers,</p>		<p><input type="checkbox"/></p>
<p>(3023) Underlying information subjected to a review by an independent certified public accountant</p>		<p><input type="checkbox"/></p>
<p>(3024) Underlying information subjected to an officer certification.</p>		<p><input type="checkbox"/></p>
<p>(3025) PDF of Balance Sheet, Income Statement and Statement of Cash Flows</p>		<p><input type="checkbox"/></p>
<p>(3026) Attach the worksheet listing required information</p>	<p>Name of Attached Document Listing Required Information</p>	<p><input type="checkbox"/></p>

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	383303
<015> Study Area Name	SRT COMMUNICATIONS
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<030> Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
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<039> Contact Email Address - Email Address of person identified in data line <030>	julieel@srattel.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	SRT COMMUNICATIONS
Signature of Authorized Officer:	CERTIFIED ONLINE <span style="float: right;">Date 10/02/2013</span>
Printed name of Authorized Officer:	Julie Lizotte
Title or position of Authorized Officer:	Director of Regulatory Affairs
Telephone number of Authorized Officer:	701-858-5233
Study Area Code of Reporting Carrier:	383303 <span style="float: right;">Filing Due Date for this form: 10/15/2013</span>
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

<b>Certification - Agent / Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	383303
<015>	Study Area Name	SRT COMMUNICATIONS
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:**

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent: _____	
Name of Reporting Carrier: _____	
Signature of Authorized Officer: _____	Date: _____
Printed name of Authorized Officer: _____	
Title or position of Authorized Officer: _____	
Telephone number of Authorized Officer: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**TO BE COMPLETED BY THE AUTHORIZED AGENT:**

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier: _____	
Name of Authorized Agent or Employee of Agent: _____	
Signature of Authorized Agent or Employee of Agent: _____	Date: _____
Printed name of Authorized Agent or Employee of Agent: _____	
Title or position of Authorized Agent or Employee of Agent: _____	
Telephone number of Authorized Agent or Employee of Agent: _____	
Study Area Code of Reporting Carrier: _____	Filing Due Date for this form: _____
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

## Attachments



**SRT COMMUNICATIONS, INC. (383303)**

**(510) COMPLIANCE WITH APPLICABLE SERVICE QUALITY AND CONSUMER PROTECTION STANDARDS**

**47 C.F.R. §54.313(a)(5)**

**FCC FORM 481, PROGRAM YEAR 2014**

SRT Communications, Inc. ("SRT") shall comply with the service quality and consumer protection standards established below in providing the basic telecommunications service to its end-user customers.

1. Customer Care Service Answered and Attended - The duration from the time the address information required for setting up a call is received by the network to the time the SRT representative answers the call. Also, availability of adequate personnel to provide sufficient customer care.
  - (a) SRT's standard answer time is one to three rings.
  - (b) SRT has sufficient personnel to handle customer calls and/or customer visits for residential and business general customer service, billing and credit assistance. Also, SRT's Network Operations Center provides after hour customer care.
  
2. Availability of Service – The interval between the customer request for installation of voice grade service and the provision of the service by SRT.
  - (a) If the outside plant is dedicated to the customer premise, the standard installation time for voice grade service is within 24. If the outside plant is not dedicated, service is provisioned within 72 hours.
  
3. Customer and/or Non-Customer Reported Trouble – The duration from the time a customer notifies SRT of a trouble, or when a trouble is detected by SRT, to the time when the service has been restored to normal working order.
  - (a) SRT's policy is to repair service to normal working order within 24 hours for out of service reports, and 24 hours for service affecting reports.
  
4. End User Billing, Timing and Accuracy – The measure of the number of incorrect bills per 1,000 bills issued. An incorrect bill is one which has been determined by SRT to have been issued with a billing error.
  - (a) SRT's billing disputes are less than 1% on a monthly basis.
  - (b) Any billing dispute is resolved immediately. If credit is due to the customer, the credit will appear on the next billing statement.
  - (c) SRT bills on a monthly basis. Customers can elect to have paper statements mailed to their residence or business, or they can elect to receive their bill on-line.
  - (d) Customer's may use SRT's on-line bill pay, pay with a credit card by phone using SRT's automated bill pay method, or they can visit either of SRT's two locations to pay their bill in person.

5. Subscriber Loops – Transmission – All voice-grade trunk facilities shall conform to accepted transmission design factors and shall be maintained to meet the following objectives when measured from line terminals of the originating central office to the line terminals of the terminating central office.
  - (a) Loop resistance is 1500 ohms to 2600 ohms. CO line treatment is incorporated when loop resistance > 1500 ohms.
  - (b) Loop voltage is between 44 and 56 volts DC.
  - (c) Subscriber lines. All newly constructed and rebuilt subscriber lines shall be designed for a transmission loss of no more than eight decibels from the serving central office to the customer premises network interface. Subscriber lines shall in addition be constructed and maintained so that metallic noise does not exceed 20 decibels above reference noise level (“C” message weighting).
  - (d) PBX, key, and multiline trunk circuits. PBX, key, and multiline trunk circuits shall be designed and maintained so that transmission loss at the subscriber station does not exceed eight decibels. If the PBX or other terminating equipment is customer owned and if transmission loss exceeds eight decibels, SRT’s responsibility shall be limited to providing trunk circuit with no more than five decibels loss from the central office to the point of connection with the customer facilities.
  
6. Customer Dialing and Call Completion Standards – Sufficient central office capacity and equipment shall be provided to meet the following requirements.
  - (a) Local dial service. Provide dial tone within three seconds on at least 98% of calls.
  - (b) Completion of 98% of intra-office calls (those originating and terminating within the same central office building) without encountering an equipment busy condition or equipment failure.
  - (c) Engineering and maintenance of the trunk and related switching components in the toll network shall permit 97% completion on properly dialed calls without encountering failure because of blockages or equipment failure.
  
7. Disconnection and Reconnection of Service – The period where SRT disconnects and reconnects service after overdue payment is received.
  - (a) SRT will work with the customer to set up payment arrangements. If agreed upon payment arrangements are not followed and new terms cannot be satisfactorily fulfilled, then the account can be disconnected for non-pay.
  - (b) Service disconnection for non pay will take place three months after customer has not paid for essential services.
  - (c) Reconnection will occur when essential service charges are paid in full, and service will be reconnected within one hour.
  
8. Consumer Protection – SRT has security measures in place to avoid call detail and customer account record information from being distributed to unauthorized parties.
  - (a) SRT complies with the FCC’s Customer Proprietary Network Information (“CPNI”) and Red Flag requirements. Also, SRT posts an On-line Privacy Policy on [ww.srt.com](http://ww.srt.com).

**SRT COMMUNICATIONS, INC.**  
**(610) FUNCTIONALITY IN EMERGENCY SITUATIONS**  
**47 C.F.R. § 54.313(a)(6)**  
**47 C.F.R. §54.202(a)(2)**  
**FCC FORM 481, PROGRAM YEAR 2014**

SRT Communications, Inc. has battery back up in the Host Central Office and all Remote Central Offices that provide at least 8 hours battery back up in the event of a commercial power failure. In addition, the Host Central Office and many Remote Central Offices have diesel or natural gas electric generators to support the office in the case of an extended power outage. Those Remote Offices that do not have on site generators can be supported by portable generators via a generator plug and transfer switch.

All Remote Central Offices are connected to the Host Central Office via industry standard Synchronous Optical Network (SONET) technology that routes traffic in a redundant ring configuration. Traffic is automatically re-routed in the event of equipment or outside plant failure. Traffic is monitored monthly to ensure voice trunks are sufficient at each Remote Office during normal business and unexpected high traffic events.

Short term emergency situations are monitored by the Network Operations Center, 24 hours per day, 365 days per year. Extended, critical, or time-sensitive emergency situations involve the SRT Crisis Management Team which responds with all required resources up to the executive level.

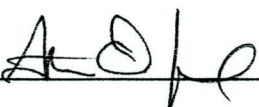
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ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands

**Section 1: Certification of Officer**

Section 54.313(a)(9) of the rules of the Federal Communications Commission (“FCC”) requires SRT Communications, Inc., and its wireless affiliate, North Dakota Network Co. (dba SRT Wireless), herein referred to as (“The Companies”), to provide documents and information regarding discussions that The Companies had with Tribal governments located within The Company’s serving area. The Companies certify that they have followed the guidance outlined in the FCC’s July 19, 2012 Public Notice<sup>1</sup> wherein the FCC issued guidance on the Tribal government engagement obligation provisions of the Connect America Fund.

I, Steve Lysne, am an officer of SRT Communications, Inc. (“SRT”) and North Dakota Network Co. (“NDNC/SRT Wireless”) and hereby certify that The Companies are in compliance with the FCC’s Tribal engagement requirements, and the statements made in this report are accurate:

<b>Name of Officer (Print):</b>	<u>Steve Lysne</u>
<b>Title:</b>	<u>CEO</u>
<b>Signature:</b>	<u></u>
<b>Date:</b>	<u>12/31/12</u>

<sup>1</sup> See Office of Native Affairs and Policy, Wireless Telecommunications Bureau, and Wireline Competition Bureau Issue Further Guidance on Tribal Government Engagement Obligation Provisions of the Connect America Fund, Public Notice, DA 12-1165, WC Docket Nos. 10-90 et al. (July 19, 2012) (“Further Guidance”)

## ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands

### Section 2: Company and Tribal Information

The Companies are federal and state designated ETC's serving Tribally-owned lands in North Dakota. The Tribally-owned lands are in the Townships of Holmes, Hillside, and Gilbert, are in Rolette County and belong to the Turtle Mountain Band of Chippewa Indians. The Companies serve approximately six (6) square miles and has a population of approximately 250.

As of December 31, 2012, The Companies do provide voice telephone service to 100% of the population in this Tribally-owned area. The Companies do provide broadband service to 100% of this population.

The Companies initiated the engagement process outlined in the *Further Guidance* in 2012. The Companies first contacted Tribal leaders on January 30, 2012 about the Tribal engagement process. The following employee(s) participated in the Tribal engagement:

Name:	<u>Ron Aberle</u>	Name:	<u>Travis Nelson</u>
Title:	<u>SRT, Right of Way Engineer</u>	Title:	<u>SRT, Right of Way Specialist</u>

The Companies successfully contacted the following individual/s:

<u>Name</u>	<u>Title</u>	<u>Tribal Affiliation</u>	<u>Address</u>	<u>Email</u>	<u>Telephone#</u>
Lynne Allick	TM Agency	BIA		<a href="mailto:Lynne.Allick@bia.gov">Lynne.Allick@bia.gov</a>	
Shonel McCloud	Reality Specialist	BIA	PO Box 60, Belcourt ND	<a href="mailto:Shonel.McCloud@bia.gov">Shonel.McCloud@bia.gov</a>	701-477-6184
Lyndon Desjarlais	TM Agency	BIA	PO Box 60, Belcourt ND	<a href="mailto:Lyndon.Desjarlais@bia.gov">Lyndon.Desjarlais@bia.gov</a>	
Denise Peltier		Turtle Mtn Tribe		<a href="mailto:dpeltier2002@aol.com">dpeltier2002@aol.com</a>	

**Section 3: 54.313(a)(9)(i) A Needs Assessment and Deployment Planning**

Describe in detail how you're The Companies engaged with Tribal leaders in 2012 on issues related to the Tribe's communications needs, goals, priorities, anchor institutions, and community; and how you're the Companies have communicated its deployment priorities and processes, services that can be provided, timelines for the provision of service, and any potential opportunities for partnering with Tribal governments.

**Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud asking them to review the five Tribal engagement obligations. No response was received.**

*On January 30, 2012, SRT and SRT Wireless representatives met with Denise Peltier , Turtle Mountain Indian Reservation representative, Lynne Allick, Lyndon Desjarlais, and Shonel McCloud all of which are from the Bureau of Indian Affairs. The purpose of this meeting was to get instruction and guidelines that The Companies will need to take in order to do work on Tribal land. From this meeting, among other things, a request was made for SRT to send a letter of request about SRT's Fiber to the Home project to the BIA (see next paragraph).*

*In a letter dated April 23, 2012, SRT informed Lyndon Desjarlai, BIA, of its intent to rebuild SRT's Metigoshe Telephone Exchange. While The Companies do not actually serve the Turtle Mountain Indian Reservation, there is Tribal Land outside of the Turtle Mountain Indian Reservation that The Companies do serve. This letter indicated that the current copper line outside plant will be replaced with Fiber Optics, and that the project will start in August 2012 and be completed by November, 2012.*

*This project included the Dunseith Day School, US Public Health and Clinic.*

**Section 4: 54.313(a)(9)(ii) Feasibility and Sustainability Planning**

Describe how The Companies engaged with Tribal leaders in 2012 regarding network feasibility and sustainability challenges (like terrain, remoteness, and poverty) and opportunities.

**Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.**

*A letter dated April 30, 2012 was sent to Shonel McCloud indicating where cable is to be placed in and across BIA Roads. Maps were provided which depict where construction will take place.*

**ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands**

**Section 5: 54.313(a)(9)(iii) Marketing Services in a Culturally Sensitive Manner**

Describe how The Companies engaged with Tribal leaders in 2012 on cultural factors to consider when marketing and deploying communications on Tribal lands, to ensure that the services are marketed in a manner that will relate directly to the community, resonate with consumers, and stimulate increased adoption of services on Tribal lands.

**Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.**

**Marketing material (door hangers) were distributed May 2012 advertising SRT services. Also, in November 2012, a SRT Wireless (NDNC) campaign was advertised in the local newspaper.**

**Section 6: 54.313(a)(9)(iv) Rights of Way Processes, Land Use Permitting, Facilities Siting, Environmental and Cultural Preservation Review Processes**

Describe how The Companies engaged with Tribal leaders in 2012 about compliance with rights of way, land use permitting, facilities siting, and environmental and cultural preservation on Tribal lands. Communications providers should obtain a comprehensive list of all processes required to provide service on Tribal lands, and should provide documentation of compliance with these processes.

**Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.**

***January 30, 2012 meeting with The Companies, Turtle Mountain Tribe representative, and Bureau of Indian Affairs representatives to discuss:***

***Standard permit need to cross BIA roads,***

***Hwy. 281 may require authorization/fee to Tribe or BIA.***

***Each tract must have a title status report.***

***If trust land, SRT will need to obtain 51% of owners signatures. Also requires a professional survey and assessment of fair market value to determine fee.***

***Application for new service will need to be filed with BIA for all new service requests in the future. New service orders also require that the person requesting service provide tribal lease documentation.***

***Maps of facilities and definite locations are needed, and certified survey for appraisal requests where needed and requested.***

***BIA will send SRT all required paperwork to complete as project progresses. Tribe uses BIA forms as well and those can be processed at anytime in the future.***

***All contractors working on tribal land will need to have a business license from TERO (Tribal Employment Rights Office).***

**ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands**

**Section 7: 54.313(a)(9)(v) Compliance with Tribal Business Licensing Requirements**

Describe how The Companies engaged with Tribal leaders in 2012 about compliance with business licensing on Tribal lands. Tribal business and licensing requirements include business practice licenses that Tribal and non-Tribal business entities, whether located on or off Tribal lands, must obtain upon application to the relevant Tribal government office or division to conduct any business or trade, or deliver any goods or services to the Tribes, Tribal members, or Tribal lands. These include certificates of public convenience and necessity, Tribal business licenses, and other related forms of Tribal government. Include all supporting documentation.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud asking them to review the five Tribal engagement obligations. No response was received.

*The Companies have obtained:*

*Turtle Mountain Band of Chippewa Indians Business License dated June 18, 2012.*

*United States Department of the Interior Bureau of Indian Affairs STATEMENT OF LANDOWNERS TO ACCOMPANY APPLICATION FOR PERMISSION TO MAKE SURVEY(S)*

*Application for Grant of Right-of-Way across Tribal Indian Land*

*Application for Permission to Survey for Right-of-Way*

*United States Department of the Interior Bureau of Indian Affairs Consent of Owners to Grant Right-of-Way*

*Affidavit of Completion*

**Section 8: Contact Summary**

Please list all contact The Companies had with the Tribal government below.

<u>Date</u>	<u>Contact Type (in-person, email, Phone, etc)</u>	<u>SRT/SRT Wireless</u>	<u>Tribal Contact</u>	<u>Successful Attempt (yes/no)</u>
1/30/12	in-person	Ron Aberle Travis Nelson Reed Rosencrans	Denise Peltier Lynne Allick Shonel McCloud Lyndon Desjarlais	yes
2/7/12	email	Travis Nelson	Shonel McCloud	yes
2/27/12	email	Ron Aberle	Shonel McCloud	yes
3/7/12	email	Ron Aberle	Shonel McCloud	yes
3/30/12	email	Ron Aberle	Shonel McCloud	yes
4/25/12	letter	Ron Aberle	Lyndon Desjarlais	yes
6/1/12	email	Ron Aberle	Denise Peltier	yes
6/27/12	email	Ron Aberle	Denise Peltier	yes
7/6/12	letter	Travis Nelson	Lyle Morin	yes



**SRT**  
COMMUNICATIONS

November 15, 2012

Mr. Merle St. Claire, Chairman  
Turtle Mountain Band of Chippewa Indians  
PO Box 900  
Belcourt, ND 58316-0900

Dear Mr. St. Claire,

In accordance with the Federal Communications Commission's (FCC) release of the recent USF/ICC Transformation Order (Order), the FCC is working together with the Office of Native Affairs and Policy (ONAP) and the Wireless Telecommunications and Wireline Competitions Bureaus to provide guidance on the Tribal engagement obligations adopted in the Order. The goal is to create substantive dialogue between communication providers and Tribal Nations, and to focus on identifying commonalities, increasing efficiencies and building relationships.

Since SRT Communications, Inc. (SRT) serves Tribal lands in the Northeastern portion of Rolette County, we would like to encourage Tribal leaders to review the following: (1) a needs assessment and deployment planning with a focus on Tribal community anchor institutions; (2) feasible and sustainability planning; (3) marketing services in a culturally sensitive manner; (4) rights of way process, land use permitting, facilities siting, environmental and cultural preservation review processes; and (5) compliance with Tribal business and licensing requirements.

As you are probably aware, SRT has engaged in several conversations with the Turtle Mountain Band of Chippewa Indian Tribe and with the Bureau of Indian Affairs (BIA) throughout 2012 for our Fiber to the Home upgrade in Tribal areas near the Turtle Mountain Indian Reservation. Conversations and correspondence have been passed between SRT personnel, Ron Aberle, Travis Nelson and Reed Rosencrans, Tribe representative Denise Peltier, and BIA representatives Lyndon DesJarlais, Shonel McCloud and Lynne Allick.

If you would like to engage in further conversation with SRT management, please let us know and we would be glad to arrange a visit. Hopefully SRT is doing its part to provide your community with the most reliable and updated services possible.

Sincerely,

Steven D. Lyshe  
CEO, General Manager



December 11, 2012

Richard McCloud, Chairman  
Turtle Mountain Band of Chippewa Indians  
4180 Hwy. 281  
Belcourt, ND 58316

Dear Mr. McCloud,

Enclosed is a letter dated November 15, 2012, sent to Mr. Merle St. Claire, regarding the FCC's recent release of the Tribal engagement obligations between communication providers and Tribal nations.

As you can see from the attached letter, SRT Communications, Inc. ("SRT") is asking Tribal leaders to review the five (5) Tribal outreach requirements, and is also encouraging Tribal leaders to engage in conversation with SRT management.

Please let me know if you have any questions or concerns, or if you would like to schedule a visit.

Sincerely,

A handwritten signature in black ink, appearing to read 'S. D. Lysne', written over a horizontal line.

Steven D. Lysne  
CEO, General Manager

enclosure

**SRT COMMUNICATIONS, INC (383303)**  
**(1210) LIFELINE PLANS TERMS AND CONDITIONS**  
**47 C.F.R. §54.422(a)(2)**  
**FCC FORM 481, PROGRAM YEAR 2014**

**LOCAL SERVICE**  
**LIFELINE SERVICE**

**A. General**

1. The Federal Communications Commission and the North Dakota Public Service Commission require that an Eligible Telecommunications Carrier must offer Lifeline assistance to non-Tribal Land customers, and Enhanced Lifeline and Link-up assistance for Tribal Land customers. Tribal Lands include any federally recognized Indian tribe's reservation, pueblo, or colony.
2. Lifeline service means qualifying low income subscribers pay reduced charges for residential local telecommunications service.
3. Tribal and Non-Tribal Lifeline service includes:
  - Voice grade access to the public switched network.
  - Unlimited local minutes of use provided at no additional charge. Toll charges will be applicable at the rates specified by your long distance provider.
  - Access to emergency 911 services. Applicable 911 fees will be charged depending on county.
  - Toll limitation service at no charge.
4. A service deposit shall not be collected in order to initiate Lifeline service if the qualifying low income subscriber voluntarily elects toll blocking.
5. Lifeline assistance is not available when a subscriber is already receiving one or more Lifeline services concurrently, or one or more subscribers in a household are receiving Lifeline services concurrently.
6. All Lifeline customers will be required to recertify on an annual basis.

**LOCAL SERVICE  
LIFELINE SERVICE  
NON TRIBAL LAND**

**B. Program Based Eligibility - Non-Tribal Land**

1. A subscriber can receive the Lifeline assistance by providing SRT their current or prior year's statement of benefits from a qualifying assistance program, a notice or letter of participation in a qualifying assistance program, program participation documents, or another official document demonstrating that the prospective subscriber, one or more of the prospective subscriber's dependents or the prospective subscriber's household receives benefits from a qualifying assistance program. Applicant must complete SRT's Lifeline Assistance Application. Eligible programs include:

- Medicaid
- Supplemental Nutrition Assistance Program (SNAP)
- Supplemental Security Income (SSI)
- Federal Public Housing Assistance (Section 8) (FPHA)
- Low Income Home Energy Assistance (LIHEAP)
- Temporary Assistance for Needy Families (TANF)
- National School Lunch Program (NSLP)

**C. Income Based Eligibility – Non-Tribal Land**

1. A qualifying low income subscriber is eligible to receive Lifeline assistance by certifying under the Income-Based method. The subscriber's household income must be at or below 135% of the Federal Poverty Guidelines. The Universal Service Administration Company (USAC) will be the point of reference to determine the Federal Poverty Guidelines. The subscriber must complete SRT's Assistance Application, provide SRT income documentation, and certify the number of household members. The income of all household members will be used to determine eligibility. Acceptable income documentation includes:

- Prior year's state, federal, or tribal tax return
- Current income statement from an employer
- Paycheck stub (must present three consecutive months)
- Social security statement of benefits
- Veterans administration statement of benefits
- Federal or tribal notice letter of participation in General Assistance
- Child Support
- Divorce Decree
- Other official document

**D. Lifeline Support Amount – Non-Tribal Land**

1. An eligible customer must subscribe to the Residential Local Line at a rate of \$13.95 for the Minot exchange, or \$20.95 for all other exchanges. A Federal Lifeline discount in the amount of \$9.25 per month will then be applied.

**LOCAL SERVICE  
ENHANCED LIFELINE AND LINKUP SERVICE  
TRIBAL LANDS**

**A. General**

1. In order to receive Enhanced Lifeline for residents of Tribal lands, a consumer must complete and sign a SRT Assistance Application.
  
2. In addition to the \$9.25 Lifeline support indicated in Section 2, Sheet 8 (D), Enhanced Lifeline Assistance for residents of Tribal Lands are eligible to receive an additional \$25 in support. The total amount of Enhanced Lifeline support cannot exceed the amount of the Residential Local Line Charge.

**B. Program Based Eligibility - Tribal Lands**

1. Residents of Tribal lands who are eligible to receive one of the following assistance programs are eligible to receive Enhanced Lifeline.

Medicaid  
Supplemental Nutrition Assistance Program (SNAP)  
Supplemental Security Income (SSI)  
Federal Public Housing Assistance (Section 8) (FPHA)  
Low Income Home Energy Assistance (LIHEAP)  
Temporary Assistance for Needy Families (TANF)  
National School Lunch Program (NSLP)  
Bureau of Indian Affairs General Assistance Program  
Tribally administered Temporary Assistance for Needy Families (TTANF)  
Food Distribution Program on Indian Reservations (FDPIR)  
Head Start (meeting income qualifying standards)

**C. Income Based Eligibility – Tribal Lands**

1. A qualifying low income subscriber is eligible to receive Enhanced Lifeline assistance by certifying under the Income-Based method. The subscriber's household income must be at or below 135% of the Federal Poverty Guidelines. The Universal Service Administration Company (USAC) will be the point of reference to determine the Federal Poverty Guidelines. The subscriber must complete SRT's Assistance Application, provide SRT income documentation, and certify the number of household members. The income of all household members will be used to determine eligibility.

**LOCAL SERVICE  
ENHANCED LIFELINE AND LINK UP SERVICE  
TRIBAL LANDS**

**C. Income Based Eligibility – Tribal Lands, continued...**

Acceptable forms of documentation include:

Prior year's state, federal, or tribal tax return  
Current income statement from an employer  
Paycheck stub (must present three consecutive months)  
Social security statement of benefits  
Veterans administration statement of benefits  
Federal or tribal notice letter of participation in General Assistance  
Child Support  
Divorce Decree  
Other official document

**D. Enhanced Linkup - Tribal Lands**

1. A resident of Tribal Lands who is eligible to receive Enhanced Lifeline is also eligible to receive Expanded Link Up.
2. A 100 percent reduction, up to \$100, of the customary charge for commencing telecommunications service for a single telecommunications connection.
3. An eligible resident of Tribal lands may receive the benefit of the Enhanced Tribal Link Up program for a second or subsequent time only for otherwise qualifying commencement of telecommunications service at a principal place of residence with an address different from the address for which Tribal Link Up assistance was provided previously.

**E. Enhanced Lifeline Support Amount - Tribal Lands**

1. An eligible consumer must subscribe to a Residential Local Line rate at \$13.95 for the Minot exchange, or \$20.95 for all other exchanges. A Federal Lifeline discount in the amount of \$9.25 per month will then be applied. Additional federal Lifeline support of up to \$25 per month will be made available to eligible residents of Tribal lands. The total Lifeline support cannot exceed the Residential Local Line Charge.

USDA-RUS

This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential.

**OPERATING REPORT FOR  
TELECOMMUNICATIONS BORROWERS**

BORROWER NAME

SRT Communications, Inc.

INSTRUCTIONS-Submit report to RUS within 30 days after close of the period.  
or detailed instructions, see RUS Bulletin 1744-2. Report in whole dollars only.

PERIOD ENDING

December, 2012

BORROWER DESIGNATION

ND0537

**CERTIFICATION**

We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief.

**ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES.**

**DURING THE PERIOD COVERED BY THIS REPORT PURSUANT TO PART 1788 OF 7CFR CHAPTER XVII**

(Check one of the following)

All of the obligations under the RUS loan documents have been fulfilled in all material respects.

There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the Telecom Operating Report

Steven Lysne

3/20/2013

DATE

**PART A. BALANCE SHEET**

ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
<b>CURRENT ASSETS</b>			<b>CURRENT LIABILITIES</b>		
1. Cash and Equivalents	2,430,572	3,938,299	25. Accounts Payable	9,239,099	6,557,199
2. Cash-RUS Construction Fund	1,962	1,575,456	26. Notes Payable	3,475,000	
3. Affiliates:			27. Advance Billings and Payments		
a. Telecom, Accounts Receivable			28. Customer Deposits	103,775	94,400
b. Other Accounts Receivable			29. Current Mat. L/T Debt	2,026,350	1,806,000
c. Notes Receivable			30. Current Mat. L/T Debt-Rur. Dev.		
4. Non-Affiliates:			31. Current Mat.-Capital Leases		
a. Telecom, Accounts Receivable	2,278,456	2,635,773	32. Income Taxes Accrued	67,424	
b. Other Accounts Receivable	3,181,187	3,084,545	33. Other Taxes Accrued	262,438	282,861
c. Notes Receivable			34. Other Current Liabilities	739,625	797,926
5. Interest and Dividends Receivable	4,136	7,522	<b>35. Total Current Liabilities (25 thru 34)</b>	<b>15,913,711</b>	<b>9,538,386</b>
6. Material-Regulated	3,311,761	3,094,950	<b>LONG-TERM DEBT</b>		
7. Material-Nonregulated	544,868	734,900	36. Funded Debt-RUS Notes	4,905,201	11,959,543
8. Prepayments	199,577	526,160	37. Funded Debt-RTB Notes		
9. Other Current Assets			38. Funded Debt-FFB Notes		
<b>10. Total Current Assets (1 Thru 9)</b>	<b>11,952,519</b>	<b>15,597,605</b>	39. Funded Debt-Other	5,096,623	3,965,333
<b>NONCURRENT ASSETS</b>			40. Funded Debt-Rural Develop. Loan		
1. Investment in Affiliated Companies			41. Premium (Discount) on L/T Debt		
a. Rural Development	18,152,819	14,125,415	42. Reacquired Debt		
b. Nonrural Development			43. Obligations Under Capital Lease		
2. Other Investments			44. Adv. From Affiliated Companies		
a. Rural Development			45. Other Long-Term Debt		
b. Nonrural Development	5,534,864	5,841,158	<b>46. Total Long-Term Debt (36 thru 45)</b>	<b>10,001,824</b>	<b>15,924,876</b>
3. Nonregulated Investments	283,197	282,685	<b>OTHER LIAB. &amp; DEF. CREDITS</b>		
4. Other Noncurrent Assets			47. Other Long-Term Liabilities	3,420,710	5,204,152
5. Deferred Charges	215,948	170,390	48. Other Deferred Credits	7,329	
6. Jurisdictional Differences			49. Other Jurisdictional Differences		
<b>7. Total Noncurrent Assets (11 thru 16)</b>	<b>24,186,828</b>	<b>20,419,648</b>	<b>50. Total Other Liabilities and Deferred Credits (47 thru 49)</b>	<b>3,428,039</b>	<b>5,204,152</b>
<b>PLANT, PROPERTY, AND EQUIPMENT</b>			<b>EQUITY</b>		
8. Telecom, Plant-in-Service	165,043,591	177,107,365	51. Cap. Stock Outstand. & Subscribed		
9. Property Held for Future Use			52. Additional Paid-in-Capital		
10. Plant Under Construction	11,902,478	13,776,437	53. Treasury Stock		
1. Plant Adj., Nonop. Plant & Goodwill	35,923,162	35,045,162	54. Membership and Cap. Certificates		
2. Less Accumulated Depreciation	128,630,880	134,983,471	55. Other Capital	8,046,545	8,020,605
<b>3. Net Plant (18 thru 21 less 22)</b>	<b>84,238,351</b>	<b>90,945,493</b>	56. Patronage Capital Credits	79,809,577	82,544,640
<b>4. TOTAL ASSETS (10+17+23)</b>			57. Retained Earnings or Margins	3,178,002	5,730,087
			<b>58. Total Equity (51 thru 57)</b>	<b>91,034,124</b>	<b>96,295,332</b>
			<b>59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)</b>		
	120,377,698	126,962,746		120,377,698	126,962,746

Total Equity = 75.85% % of Total Assets

**OPERATING REPORT FOR  
TELECOMMUNICATIONS BORROWERS**

ND0537

PERIOD ENDING

December, 2012

INSTRUCTIONS- See RUS Bulletin 1744-2

**PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS**

ITEM	PRIOR YEAR	THIS YEAR
1. Local Network Services Revenues	8,302,610	9,319,878
2. Network Access Services Revenues	23,572,085	22,473,538
3. Long Distance Network Services Revenues	2,503,364	2,320,588
4. Carrier Billing and Collection Revenues	104,053	106,739
5. Miscellaneous Revenues	2,561,757	3,485,143
6. Uncollectible Revenues	94,321	122,590
<b>7. Net Operating Revenues (1 thru 5 less 6)</b>	<b>36,949,548</b>	<b>37,583,296</b>
8. Plant Specific Operations Expense	7,455,404	7,701,483
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)	7,131,912	7,451,201
10. Depreciation Expense	7,711,023	8,385,764
11. Amortization Expense	1,685,338	1,628,344
12. Customer Operations Expense	2,593,124	2,569,713
13. Corporate Operations Expense	5,616,051	5,212,412
<b>14. Total Operating Expenses (8 thru 13)</b>	<b>32,192,852</b>	<b>32,948,917</b>
15. Operating Income or Margins (7 less 14)	4,756,696	4,634,379
16. Other Operating Income and Expenses		
17. State and Local Taxes		
18. Federal Income Taxes	1,019,699	368,329
19. Other Taxes	124,575	160,883
<b>20. Total Operating Taxes (17+18+19)</b>	<b>1,144,274</b>	<b>529,212</b>
21. Net Operating Income or Margins (15+16-20)	3,612,422	4,105,167
22. Interest on Funded Debt	562,443	551,898
23. Interest Expense - Capital Leases		
24. Other Interest Expense		
25. Allowance for Funds Used During Construction	360,998	157,986
<b>26. Total Fixed Charges (22+23+24-25)</b>	<b>201,445</b>	<b>393,912</b>
27. Nonoperating Net Income	928,762	3,054,924
28. Extraordinary Items		
29. Jurisdictional Differences		
30. Nonregulated Net Income	(1,161,737)	(1,036,092)
<b>31. Total Net Income or Margins (21+27+28+29+30-26)</b>	<b>3,178,002</b>	<b>5,730,087</b>
32. Total Taxes Based on Income	1,019,699	368,329
33. Retained Earnings or Margins Beginning-of-Year	4,674,882	3,178,002
34. Miscellaneous Credits Year-to-Date	112,939	34,305
35. Dividends Declared (Common)		
36. Dividends Declared (Preferred)		
37. Other Debits Year-to-Date		
38. Transfers to Patronage Capital	4,787,821	3,212,307
<b>39. Retained Earnings or Margins End-of-Period [(31+33+34) - (35+36+37+38)]</b>	<b>3,178,002</b>	<b>5,730,087</b>
40. Patronage Capital Beginning-of-Year	75,887,136	79,809,577
41. Transfers to Patronage Capital	4,787,821	3,212,307
42. Patronage Capital Credits Retired	865,380	477,244
<b>43. Patronage Capital End-of-Year (40+41-42)</b>	<b>79,809,577</b>	<b>82,544,640</b>
44. Annual Debt Service Payments	2,228,056	2,614,027
45. Cash Ratio [(14+20-10-11) / 7]	0.6479	0.6243
46. Operating Accrual Ratio [(14+20+26) / 7]	0.9077	0.9013
47. TIER [(31+26) / 26]	16.7760	15.5466
48. DSCR [(31+26+10+11) / 44]	5.7341	6.1737

**OPERATING REPORT FOR  
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INSTRUCTIONS - See RUS Bulletin 1744-2

BORROWER DESIGNATION

ND0537

PERIOD ENDED

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**Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION**

EXCHANGE	1. RATES		2. SUBSCRIBERS (ACCESS LINES)			3. ROUTE MILES	
	B-1 (a)	R-1 (b)	BUSINESS (a)	RESIDENTIAL (b)	TOTAL (c)	TOTAL (including fiber) (a)	FIBER (b)
Antler	18.95	18.95	29	62	91	79.00	13.00
Berthold	18.95	18.95	183	318	501	552.00	252.00
Butte	18.95	18.95	46	279	325	349.00	53.00
Carpio	18.95	18.95	73	173	246	183.00	22.00
Deering	18.95	18.95	120	482	602	560.00	214.00
Des Lacs	18.95	18.95	62	284	346	219.00	29.00
Donnybrook	18.95	18.95	24	84	108	101.00	8.00
Glenburn	18.95	18.95	82	256	338	223.00	25.00
Karlsruhe	18.95	18.95	37	130	167	171.00	25.00
Landa	18.95	18.95	10	69	79	110.00	6.00
Landsford	18.95	18.95	74	200	274	337.00	155.00
Martin	18.95	18.95	24	102	126	242.00	80.00
Maxbass	18.95	18.95	70	110	180	106.00	11.00
Metigoshe	18.95	18.95	233	868	1,101	741.00	370.00
Minot	18.95	11.95	11,147	11,914	23,061	4,845.00	571.00
Minot AFB	18.95	18.95	860	140	1,000	89.00	59.00
Mohall	18.95	18.95	287	445	732	518.00	253.00
Newburg	18.95	18.95	67	135	202	318.00	206.00
Sawyer	18.95	18.95	140	485	625	259.00	50.00
Sherwood	18.95	18.95	67	186	253	165.00	15.00
South Prairie	18.95	18.95	83	336	419	608.00	310.00
Tolley	18.95	18.95	27	87	114	151.00	40.00
Towner	18.95	18.95	155	450	605	388.00	42.00
Upham	18.95	18.95	40	172	212	262.00	81.00
Velva	18.95	18.95	319	459	778	259.00	83.00
Westhope	18.95	18.95	180	263	443	229.00	96.00
MobileWireless					0		
Route Mileage Outside Exchange Area						0.00	0.00
Total			14,439	18,489	32,928	12,064.00	3,069.00
No. Exchanges	26						

**OPERATING REPORT FOR  
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**PART H. CURRENT DEPRECIATION RATES**

Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one)

YES

NO

EQUIPMENT CATEGORY	DEPRECIATION RATE
1. Land and support assets - Motor Vehicles	20.00%
2. Land and support assets - Aircraft	
3. Land and support assets - Special purpose vehicles	12.00%
4. Land and support assets - Garage and other work equipment	12.00%
5. Land and support assets - Buildings	6.70%
6. Land and support assets - Furniture and Office equipment	15.00%
7. Land and support assets - General purpose computers	33.33%
8. Central Office Switching - Digital	8.00%
9. Central Office Switching - Analog & Electro-mechanical	14.00%
10. Central Office Switching - Operator Systems	
11. Central Office Transmission - Radio Systems	10.28%
12. Central Office Transmission - Circuit equipment	11.24%
13. Information origination/termination - Station apparatus	
14. Information origination/termination - Customer premises wiring	
15. Information origination/termination - Large private branch exchanges	
16. Information origination/termination - Public telephone terminal equipment	
17. Information origination/termination - Other terminal equipment	
18. Cable and wire facilities - Poles	10.00%
19. Cable and wire facilities - Aerial cable - Metal	15.00%
20. Cable and wire facilities - Aerial cable - Fiber	10.00%
21. Cable and wire facilities - Underground cable - Metal	
22. Cable and wire facilities - Underground cable - Fiber	
23. Cable and wire facilities - Buried cable - Metal	6.00%
24. Cable and wire facilities - Buried cable - Fiber	5.00%
25. Cable and wire facilities - Conduit systems	
26. Cable and wire facilities - Other	

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<b>OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS</b>		PERIOD ENDED December, 2012
INSTRUCTIONS – See help in the online application.		
<b>PART I – STATEMENT OF CASH FLOWS</b>		
<b>1. Beginning Cash (Cash and Equivalents plus RUS Construction Fund)</b>		2,432,534
<b>CASH FLOWS FROM OPERATING ACTIVITIES</b>		
<b>2. Net Income</b>		5,730,087
<i>Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities</i>		
3. Add: Depreciation		8,385,764
4. Add: Amortization		1,628,344
5. Other (Explain) Interest Rec and Current taxes payable net changes		(363,309)
<i>Changes in Operating Assets and Liabilities</i>		
6. Decrease/(Increase) in Accounts Receivable		(260,675)
7. Decrease/(Increase) in Materials and Inventory		26,779
8. Decrease/(Increase) in Prepayments and Deferred Charges		(281,025)
9. Decrease/(Increase) in Other Current Assets		0
10. Increase/(Decrease) in Accounts Payable		(2,681,900)
11. Increase/(Decrease) in Advance Billings & Payments		0
12. Increase/(Decrease) in Other Current Liabilities		58,301
<b>13. Net Cash Provided/(Used) by Operations</b>		12,242,366
<b>CASH FLOWS FROM FINANCING ACTIVITIES</b>		
14. Decrease/(Increase) in Notes Receivable		0
15. Increase/(Decrease) in Notes Payable		(3,475,000)
16. Increase/(Decrease) in Customer Deposits		(9,375)
17. Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)		5,702,702
18. Increase/(Decrease) in Other Liabilities & Deferred Credits		1,776,113
19. Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital		(25,940)
20. Less: Payment of Dividends		0
21. Less: Patronage Capital Credits Retired		(477,244)
22. Other (Explain)		
<b>23. Net Cash Provided/(Used) by Financing Activities</b>		3,491,256
<b>CASH FLOWS FROM INVESTING ACTIVITIES</b>		
24. Net Capital Expenditures (Property, Plant & Equipment)		(13,937,733)
25. Other Long-Term Investments		3,721,622
26. Other Noncurrent Assets & Jurisdictional Differences		0
27. Other (Explain) Additional Net Capital Expenditures not in line 24		(2,436,290)
<b>28. Net Cash Provided/(Used) by Investing Activities</b>		(12,652,401)
<b>29. Net Increase/(Decrease) in Cash</b>		3,081,221
<b>30. Ending Cash</b>		5,513,755

Revision Date 2010

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INSTRUCTIONS - See RUS Bulletin 1744-2	PERIOD ENDED December, 2012
<b>NOTES TO THE OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS</b>	

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INSTRUCTIONS - See RUS Bulletin 1744-2	PERIOD ENDED December, 2012
<b>CERTIFICATION LOAN DEFAULT NOTES TO THE OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS</b>	