

October 8, 2013

Pat Fahn, Director
Compliance and Competitive Markets
ND Public Service Commission
12th Floor State Capitol
600 East Boulevard Avenue, Dept 408
Bismarck, ND 58505-0480



Re: USF Certification - Case Nos. PU-439-01-460 and PU-439-02-441
WC Docket 10-90

Dear Mr. Fahn:

Enclosed for filing in accordance with the Commission's Order of August 28, 2002, in the above referenced proceeding, is an Affidavit certifying that all federal high-cost support provided to North Dakota Network Company was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended. In making this filing, the undersigned company is at this time neither contesting nor conceding the jurisdiction of the North Dakota Public Service Commission to act in the proceeding.

Also enclosed is FCC Form 481, Carrier Annual Reporting Data Collection Form, for the 2014 Program Year, pursuant to 47 C.F.R., §54.202, §54.313, and §54.422. This form has also been filed with the Universal Service Administrative Company, and the Federal Communications Commission.

Sincerely,

A handwritten signature in blue ink, appearing to read "S.D. Lysne".

Steven D. Lysne
CEO/General Manager
North Dakota Network Company

Enclosure

5. The Company hereby certifies that all federal high-cost support provided to North Dakota Network Co. was used in the preceding calendar year and will be used in the coming calendar year only for the provision, maintenance, and upgrading of facilities and services for which the support is intended pursuant to and consistent with Section 245(e) of the Act and 47 C.F.R. §54.7. The Company will use the Support to support the following services, as designated in 47 C.F.R. §54.101¹, throughout the Company's study area: a) voice grade access to the public switched network or its functional equivalent; b) minutes of use for local service provided at no additional charge to end users; c) access to the emergency services provided by local government or other public safety organizations, such as 911 and enhanced 911, to the extent the local government in an eligible carrier's service area has implemented 911 or enhanced 911 systems; and d) toll limitation services to qualifying low-income consumers as provided in Subpart E of 47 C.F.R. §54.400.

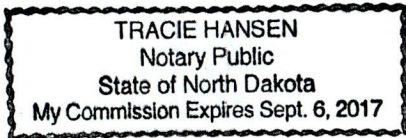
Dated this 2nd day of October, 2013.

NORTH DAKOTA NETWORK CO.

By Steven D. Lysne
Steven D. Lysne
Its: CEO/General Manager

State of North Dakota)
) ss.
County of Ward)

On this ____ day of October, 2013 before me, a Notary Public in and for said County and State, personally appeared Steven D. Lysne, known to me to be the CEO/General Manager of North Dakota Network Co., the corporation that is described in and that executed the within instrument, and acknowledged to me that such corporation executed the same.



Tracie Hansen
Notary Public for the State of
North Dakota
My Commission expires: Sept 6, 2017

¹ 76 FR 73870, Nov. 29, 2011, as amended at 77 FR 12966, Mar. 2, 2012

FCC Form 481 – Carrier Annual Reporting Data Collection Form FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010> Study Area Code	389004
<015> Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020> Program Year	2014
<030> Contact Name: Person USAC should contact with questions about this data	JULIE LIZOTTE
<035> Contact Telephone Number: Number of the person identified in data line <030>	701-858-5233
<039> Contact Email Address: Email of the person identified in data line <030>	julieel@srttel.com

ANNUAL REPORTING FOR ALL CARRIERS	54.313 Completion Required	54.422 Completion Required
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(check box when complete)

<100> Service Quality Improvement Reporting <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<200> Outage Reporting (voice) <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<210> <input checked="" type="checkbox"/> <-- check box if no outages to report		
<300> Unfulfilled Service Requests (voice) <i>(attach descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<310> Detail on Attempts (voice)		
<320> Unfulfilled Service Requests (broadband) <i>(attach descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<330> Detail on Attempts (broadband)		
<400> Number of Complaints per 1,000 customers (voice)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<410> Fixed		
<420> Mobile <input type="text" value="0.0"/>		
<430> Number of Complaints per 1,000 customers (broadband)	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<440> Fixed		
<450> Mobile		
<500> Service Quality Standards & Consumer Protection Rules Compliance <i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<510> <input type="text" value="389004nd510"/> <i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<600> Functionality in Emergency Situations <i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<610> <input type="text" value="389004nd610"/> <i>(attached descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<700> Company Price Offerings (voice) <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<710> Company Price Offerings (broadband) <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<800> Operating Companies and Affiliates <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<900> Tribal Land Offerings (Y/N)? <input checked="" type="radio"/> <input type="radio"/> <i>(if yes, complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1000> Voice Services Rate Comparability <i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1010> <input type="text"/> <i>(attach descriptive document)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1100> Terrestrial Backhaul (Y/N)? <input checked="" type="radio"/> <input type="radio"/> <i>(if not, check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1110> <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<1200> Terms and Condition for Lifeline Customers <i>(complete attached worksheet)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>

Price Cap Carriers, Proceed to Price Cap Additional Documentation Worksheet
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

<2000> <i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<2005> <i>(complete attached worksheet)</i>		

Rate of Return Carriers, Proceed to ROR Additional Documentation Worksheet

<3000> <i>(check to indicate certification)</i>	<input checked="" type="checkbox"/>	<input checked="" type="checkbox"/>
<3005> <i>(complete attached worksheet)</i>		

**(100) Service Quality Improvement Reporting
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com
<110>	Has your company received its ETC certification from the FCC?	(yes / no) <input checked="" type="radio"/> <input type="radio"/>
<111>	If your answer to Line <110> is yes, do you have an existing §54.202(a) "5 year plan" filed with the FCC?	(yes / no) <input type="radio"/> <input checked="" type="radio"/>

If your answer to Line <111> is yes, then you are required to file a progress report, on line <112> delineating the status of your company's existing § 54.202(a) "5 year plan" on file with the FCC, as it relates to your provision of voice telephony service.

<112> Attach Five-Year Service Quality Improvement Plan or, in subsequent years, your annual progress report filed pursuant to 47 C.F.R. § 54.313(a)(1). If your company is a CETC which only receives frozen support, your progress report is only required to address voice telephony service.

Name of Attached Document (.pdf)

Please check these boxes below to confirm that the attached PDF, on line 112, contains a progress report on its five-year service quality improvement plan pursuant to § 54.202(a). The information shall be submitted at the wire center level or census block as appropriate.

- <113> Maps detailing progress towards meeting plan targets
- <114> Report how much universal service (USF) support was received
- <115> How (USF) was used to improve service quality
- <116> How (USF) was used to improve service coverage
- <117> How (USF) was used to improve service capacity
- <118> Provide an explanation of network improvement targets not met in the prior calendar year.

<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>
<input type="checkbox"/>	<input type="checkbox"/>

(900) Tribal Lands Reporting Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
--------------------------------------------------------------	----------------------------------------------------------------------------------

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com
<910>	Tribal Land(s) on which ETC Serves	TURTLE MOUNTAIN BAND OF CHIPPEWA INDIANS

<920>	Tribal Government Engagement Obligation	389004nd920
		Name of Attached Document (.pdf)

If your company serves Tribal lands, please select (Yes,No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions;
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

Select (Yes,No, NA)
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes

**(1100) No Terrestrial Backhaul Reporting
Data Collection Form**

FCC Form 481
OMB Control No. 3060-0986/OMB Control No. 3060-0819
July 2013

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

<1120> Please check this box to confirm no terrestrial backhaul options exist within the supported area pursuant to § 54.313(G)

<1130> Please check this box to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(G)

(1200) Terms and Condition for Lifeline Customers Lifeline Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
------------------------------------------------------------------------------------------------------------	----------------------------------------------------------------------------------

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

<1210> Terms & Conditions of Voice Telephony Lifeline Plans 389004nd1210

Name of attached document (.pdf)

<1220> Link to Public Website HTTP <http://www.srt.com/onlinestore/do/content/lifelineLinkup>

“Please check these boxes below to confirm that the attached PDF, on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

(2000) Price Cap Carrier Additional Documentation

Data Collection Form

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

CHECK the boxes below to note compliance as a recipient of Incremental Connect America Phase I support, frozen High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e) the information reported on this form and in the documents attached below is accurate.

Incremental Connect America Phase I reporting

- <2010> 2nd Year Certification {47 CFR § 54.313(b)(1)}
- <2011> 3rd Year Certification {47 CFR § 54.313(b)(2)}

Price Cap Carrier Receiving Frozen Support Certification {47 CFR § 54.312(a)}

- <2012> 2013 Frozen Support Certification
- <2013> 2014 Frozen Support Certification
- <2014> 2015 Frozen Support Certification
- <2015> 2016 and future Frozen Support Certification

Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

- <2016> Certification Support Used to Build Broadband

Connect America Phase II Reporting {47 CFR § 54.313(e)}

- <2017> 3rd year Broadband Service Certification
- <2018> 5th year Broadband Service Certification
- <2019> Interim Progress Certification
- <2020> Please check the box to confirm that the attached PDF , on line 2021, contains the required information pursuant to § 54.313 (e)(3)(ii), as a recipient of CAF Phase II support shall provide the number, names, and addresses of community anchor institutions to which began providing access to broadband service in the preceding calendar year.
- <2021> Interim Progress Community Anchor Institutions

Name of Attached Document Listing Required Information _____

Certification - Reporting Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
-------------------------------------------------------------------	----------------------------------------------------------------------------------

<010> Study Area Code	389004
<015> Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020> Program Year	2014
<030> Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035> Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039> Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:

Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	NORTH DAKOTA NETWORK COMPANY
Signature of Authorized Officer:	CERTIFIED ONLINE Date 10/02/2013
Printed name of Authorized Officer:	Julie Lizotte
Title or position of Authorized Officer:	Director of Regulatory Affairs
Telephone number of Authorized Officer:	701-858-5233
Study Area Code of Reporting Carrier:	389004 Filing Due Date for this form: 10/15/2013
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
-----------------------------------------------------------------------	----------------------------------------------------------------------------------

<010>	Study Area Code	389004
<015>	Study Area Name	NORTH DAKOTA NETWORK COMPANY
<020>	Program Year	2014
<030>	Contact Name - Person USAC should contact regarding this data	JULIE LIZOTTE
<035>	Contact Telephone Number - Number of person identified in data line <030>	701-858-5233
<039>	Contact Email Address - Email Address of person identified in data line <030>	julieel@srttel.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) _____ is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent:	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date:
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	
Name of Authorized Agent or Employee of Agent:	
Signature of Authorized Agent or Employee of Agent:	Date:
Printed name of Authorized Agent or Employee of Agent:	
Title or position of Authorized Agent or Employee of Agent:	
Telephone number of Authorized Agent or Employee of Agent:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Attachments

NORTH DAKOTA NETWORK CO. (389004)

(510) COMPLIANCE WITH APPLICABLE SERVICE QUALITY AND CONSUMER PROTECTION STANDARDS

47 C.F.R. §54.313(a)(5)

FOC FORM 481, PROGRAM YEAR 2014

North Dakota Network Co. ("NDNC") (dba, SRT Wireless) shall comply with the service quality and consumer protection standards established below in providing the basic telecommunications service to its end-user customers.

1. Customer Care Service Answered and Attended - The duration from the time the address information required for setting up a call is received by the network to the time the NDNC representative answers the call. Also, availability of adequate personnel to provide sufficient customer care.
 - (a) NDNC's standard answer time is one to three rings.
 - (b) NDNC has sufficient personnel to handle customer calls and/or customer visits for residential and business general customer service, billing and credit assistance. Also, NDNC has a Network Operations Center which provides after hours customer care.

2. Availability of Service - The interval between the customer request for wireless service and the provision of the service by NDNC.
 - (a) NDNC's standard waiting time for wireless service activation is 30 minutes.

3. Customer and/or Non-Customer Reported Trouble - The duration from the time a customer notifies NDNC of a trouble, or when a trouble is detected by NDNC, to the time when the service has been restored to normal working order.
 - (a) NDNC strives to repair service to normal working order within a 24 hour period.
 - (b) Any wireless tower trouble requires an immediate response.

4. End User Billing, Timing and Accuracy - The measure of the number of incorrect bills per 1,000 bills issued. An incorrect bill is one which has been determined by NDNC to have been issued with a billing error.
 - (a) NDNC's billing disputes are less than 1% on a monthly basis.
 - (b) Any billing dispute is resolved immediately. If credit is due to the customer, the credit will appear on the next billing statement.
 - (c) NDNC bills on a monthly basis. Customers can elect to have paper statements mailed to their residence or business, or they can elect to receive their bill on-line.
 - (d) Customer's can use NDNC's on-line bill pay, pay with a credit card by phone using NDNC's automated bill pay method, or they can visit either of NDNC's two locations to pay their bill in person.

5. Service Coverage and Quality - Quality of service throughout NDNC's serving area.
 - (a) NDNC has 70 tower sites which covers approximately 70% of our BTA
 - (b) Dropped call Rate - less than 1%
 - (c) Access Failure Rate - less than 1%
 - (d) Voice Call Completion - 99.998%
 - (e) SMS Completion - 99.999%

6. Disconnection and Reconnection of Service – The period where NDNC disconnects and reconnects service after overdue payment is received.
 - (a) NDNC will work with the customer to set up payment arrangements. If agreed upon payment arrangements are not followed and new terms cannot be satisfactorily fulfilled, then the account can be disconnected for non-pay.
 - (b) Service disconnection for non pay will take place three months after customer has not paid for essential services.
 - (c) Reconnection will occur when essential service charges are paid in full, and service will be reconnected within one hour.

7. Consumer Protection – NDNC has security measures in place to avoid call detail and customer account record information from being distributed to unauthorized parties.
 - (a) NDNC complies with the FCC's Customer Proprietary Network Information ("CPNI") and Red Flag requirements. Also, NDNC posts an On-line Privacy Policy on www.srt.com.
 - (b) "Bill Shock" – NDNC provides text notification to customers of their minutes and data usage on a weekly basis. If the customer does not want to receive these messages, they must notify NDNC to opt out of receiving these messages.

NORTH DAKOTA NETWORK CO. (389004)
(610) FUNCTIONALITY IN EMERGENCY SITUATIONS
47 C.F.R. § 54.313(a)(6)
47 C.F.R. § 54.202(a)(2)
FCC FORM 481, PROGRAM YEAR 2014

North Dakota Network Co. (dba SRT Wireless) has battery back up in the Host Central Office and all Cell Site locations that provide at least 8 hours battery back up in the event of a commercial power failure. In addition, the Host Central Office and many Cell Site locations have diesel or natural gas electric generators to support the cell site in the case of an extended power outage. Those Cell Sites that do not have on site generators can be supported by portable generators via a generator plug and transfer switch.

All Cell Sites utilize the Public Switched Telephone Network to connect to the Host MSC switch. SRT Wireless relies on the SONET ring architecture of the serving telephone company to provide protected redundant routes to Cell Sites. Traffic is monitored monthly to ensure busy hour calls failures are kept to a minimum and Cell Sites have voice capacity to support normal business operations and unexpected high traffic events.

Short term emergency situations are monitored by the Network Operations Center, 24 hours per day, 365 days per year. Extended, critical, or time-sensitive emergency situations involve the SRT Crisis Management Team which responds with all required resources up to the executive level.


Section 1: Certification of Officer

Section 54.313(a)(9) of the rules of the Federal Communications Commission (“FCC”) requires SRT Communications, Inc., and its wireless affiliate, North Dakota Network Co. (dba SRT Wireless), herein referred to as (“The Companies”), to provide documents and information regarding discussions that The Companies had with Tribal governments located within The Company’s serving area. The Companies certify that they have followed the guidance outlined in the FCC’s July 19, 2012 Public Notice¹ wherein the FCC issued guidance on the Tribal government engagement obligation provisions of the Connect America Fund.

I, Steve Lysne, am an officer of SRT Communications, Inc. (“SRT”) and North Dakota Network Co. (“NDNC/SRT Wireless”) and hereby certify that The Companies are in compliance with the FCC’s Tribal engagement requirements, and the statements made in this report are accurate:

Name of Officer (Print): Steve Lysne

Title: CEO

Signature: 

Date: 12/31/12

¹ See Office of Native Affairs and Policy, Wireless Telecommunications Bureau, and Wireline Competition Bureau Issue Further Guidance on Tribal Government Engagement Obligation Provisions of the Connect America Fund, Public Notice, DA 12-1165, WC Docket Nos. 10-90 et al. (July 19, 2012) (“Further Guidance”)

ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands

Section 2: Company and Tribal Information

The Companies are federal and state designated ETC's serving Tribally-owned lands in North Dakota. The Tribally-owned lands are in the Townships of Holmes, Hillside, and Gilbert, are in Rolette County and belong to the Turtle Mountain Band of Chippewa Indians. The Companies serve approximately six (6) square miles and has a population of approximately 250.

As of December 31, 2012, The Companies do provide voice telephone service to 100% of the population in this Tribally-owned area. The Companies do provide broadband service to 100% of this population.

The Companies initiated the engagement process outlined in the *Further Guidance* in 2012. The Companies first contacted Tribal leaders on January 30, 2012 about the Tribal engagement process. The following employee(s) participated in the Tribal engagement:

Name:	<u>Ron Aberle</u>	Name:	<u>Travis Nelson</u>
Title:	<u>SRT, Right of Way Engineer</u>	Title:	<u>SRT, Right of Way Specialist</u>

The Companies successfully contacted the following individual/s:

<u>Name</u>	<u>Title</u>	<u>Tribal Affiliation</u>	<u>Address</u>	<u>Email</u>	<u>Telephone#</u>
Lynne Allick	TM Agency	BIA		Lynne.Allick@bia.gov	
Shonel McCloud	Reality Specialist	BIA	PO Box 60, Belcourt ND	Shonel.McCloud@bia.gov	701-477-6184
Lyndon Desjarlais	TM Agency	BIA	PO Box 60, Belcourt ND	Lyndon.Desjarlais@bia.gov	
Denise Peltier		Turtle Mtn Tribe		dpeltier2002@aol.com	

Section 3: 54.313(a)(9)(i) A Needs Assessment and Deployment Planning

Describe in detail how you're The Companies engaged with Tribal leaders in 2012 on issues related to the Tribe's communications needs, goals, priorities, anchor institutions, and community; and how you're the Companies have communicated its deployment priorities and processes, services that can be provided, timelines for the provision of service, and any potential opportunities for partnering with Tribal governments.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud asking them to review the five Tribal engagement obligations. No response was received.

On January 30, 2012, SRT and SRT Wireless representatives met with Denise Peltier , Turtle Mountain Indian Reservation representative, Lynne Allick, Lyndon Desjarlais, and Shonel McCloud all of which are from the Bureau of Indian Affairs. The purpose of this meeting was to get instruction and guidelines that The Companies will need to take in order to do work on Tribal land. From this meeting, among other things, a request was made for SRT to send a letter of request about SRT's Fiber to the Home project to the BIA (see next paragraph).

In a letter dated April 23, 2012, SRT informed Lyndon Desjarlai, BIA, of its intent to rebuild SRT's Metigoshe Telephone Exchange. While The Companies do not actually serve the Turtle Mountain Indian Reservation, there is Tribal Land outside of the Turtle Mountain Indian Reservation that The Companies do serve. This letter indicated that the current copper line outside plant will be replaced with Fiber Optics, and that the project will start in August 2012 and be completed by November, 2012.

This project included the Dunseith Day School, US Public Health and Clinic.

Section 4: 54.313(a)(9)(ii) Feasibility and Sustainability Planning

Describe how The Companies engaged with Tribal leaders in 2012 regarding network feasibility and sustainability challenges (like terrain, remoteness, and poverty) and opportunities.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.

A letter dated April 30, 2012 was sent to Shonel McCloud indicating where cable is to be placed in and across BIA Roads. Maps were provided which depict where construction will take place.

ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands

Section 5: 54.313(a)(9)(iii) Marketing Services in a Culturally Sensitive Manner

Describe how The Companies engaged with Tribal leaders in 2012 on cultural factors to consider when marketing and deploying communications on Tribal lands, to ensure that the services are marketed in a manner that will relate directly to the community, resonate with consumers, and stimulate increased adoption of services on Tribal lands.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.

Marketing material (door hangers) were distributed May 2012 advertising SRT services. Also, in November 2012, a SRT Wireless (NDNC) campaign was advertised in the local newspaper.

Section 6: 54.313(a)(9)(iv) Rights of Way Processes, Land Use Permitting, Facilities Siting, Environmental and Cultural Preservation Review Processes

Describe how The Companies engaged with Tribal leaders in 2012 about compliance with rights of way, land use permitting, facilities siting, and environmental and cultural preservation on Tribal lands. Communications providers should obtain a comprehensive list of all processes required to provide service on Tribal lands, and should provide documentation of compliance with these processes.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud, asking them to review the five Tribal engagement obligations. No response was received.

January 30, 2012 meeting with The Companies, Turtle Mountain Tribe representative, and Bureau of Indian Affairs representatives to discuss:

Standard permit need to cross BIA roads,

Hwy. 281 may require authorization/fee to Tribe or BIA.

Each tract must have a title status report.

If trust land, SRT will need to obtain 51% of owners signatures. Also requires a professional survey and assessment of fair market value to determine fee.

Application for new service will need to be filed with BIA for all new service requests in the future. New service orders also require that the person requesting service provide tribal lease documentation.

Maps of facilities and definite locations are needed, and certified survey for appraisal requests where needed and requested.

BIA will send SRT all required paperwork to complete as project progresses. Tribe uses BIA forms as well and those can be processed at anytime in the future.

All contractors working on tribal land will need to have a business license from TERO (Tribal Employment Rights Office).

ETC Annual Reporting Requirements 47 CFR §54.313(a)(9) – ETCs Serving Tribal Lands

Section 7: 54.313(a)(9)(v) Compliance with Tribal Business Licensing Requirements

Describe how The Companies engaged with Tribal leaders in 2012 about compliance with business licensing on Tribal lands. Tribal business and licensing requirements include business practice licenses that Tribal and non-Tribal business entities, whether located on or off Tribal lands, must obtain upon application to the relevant Tribal government office or division to conduct any business or trade, or deliver any goods or services to the Tribes, Tribal members, or Tribal lands. These include certificates of public convenience and necessity, Tribal business licenses, and other related forms of Tribal government. Include all supporting documentation.

Certified letters were sent out dated November 15, 2012 and December 11, 2012 to Turtle Mountain Band of Chippewa Indians Tribal Leaders, Merle St. Claire and Richard McCloud asking them to review the five Tribal engagement obligations. No response was received.

The Companies have obtained:

Turtle Mountain Band of Chippewa Indians Business License dated June 18, 2012.

United States Department of the Interior Bureau of Indian Affairs STATEMENT OF LANDOWNERS TO ACCOMPANY APPLICATION FOR PERMISSION TO MAKE SURVEY(S)

Application for Grant of Right-of-Way across Tribal Indian Land

Application for Permission to Survey for Right-of-Way

United States Department of the Interior Bureau of Indian Affairs Consent of Owners to Grant Right-of-Way

Affidavit of Completion

Section 8: Contact Summary

Please list all contact The Companies had with the Tribal government below.

Date	Contact Type (in-person, email, Phone, etc)	SRT/SRT Wireless	Tribal Contact	Successful Attempt (yes/no)
1/30/12	in-person	Ron Aberle Travis Nelson Reed Rosencrans	Denise Peltier Lynne Allick Shonel McCloud Lyndon Desjarlais	yes
2/7/12	email	Travis Nelson	Shonel McCloud	yes
2/27/12	email	Ron Aberle	Shonel McCloud	yes
3/7/12	email	Ron Aberle	Shonel McCloud	yes
3/30/12	email	Ron Aberle	Shonel McCloud	yes
4/25/12	letter	Ron Aberle	Lyndon Desjarlais	yes
6/1/12	email	Ron Aberle	Denise Peltier	yes
6/27/12	email	Ron Aberle	Denise Peltier	yes
7/6/12	letter	Travis Nelson	Lyle Morin	yes



SRT
COMMUNICATIONS

November 15, 2012

Mr. Merle St. Claire, Chairman
Turtle Mountain Band of Chippewa Indians
PO Box 900
Belcourt, ND 58316-0900

Dear Mr. St. Claire,

In accordance with the Federal Communications Commission's (FCC) release of the recent USF/ICC Transformation Order (Order), the FCC is working together with the Office of Native Affairs and Policy (ONAP) and the Wireless Telecommunications and Wireline Competitions Bureaus to provide guidance on the Tribal engagement obligations adopted in the Order. The goal is to create substantive dialogue between communication providers and Tribal Nations, and to focus on identifying commonalities, increasing efficiencies and building relationships.

Since SRT Communications, Inc. (SRT) serves Tribal lands in the Northeastern portion of Rolette County, we would like to encourage Tribal leaders to review the following: (1) a needs assessment and deployment planning with a focus on Tribal community anchor institutions; (2) feasible and sustainability planning; (3) marketing services in a culturally sensitive manner; (4) rights of way process, land use permitting, facilities siting, environmental and cultural preservation review processes; and (5) compliance with Tribal business and licensing requirements.

As you are probably aware, SRT has engaged in several conversations with the Turtle Mountain Band of Chippewa Indian Tribe and with the Bureau of Indian Affairs (BIA) throughout 2012 for our Fiber to the Home upgrade in Tribal areas near the Turtle Mountain Indian Reservation. Conversations and correspondence have been passed between SRT personnel, Ron Aberle, Travis Nelson and Reed Rosencrans, Tribe representative Denise Peltier, and BIA representatives Lyndon DesJarlais, Shonel McCloud and Lynne Allick.

If you would like to engage in further conversation with SRT management, please let us know and we would be glad to arrange a visit. Hopefully SRT is doing its part to provide your community with the most reliable and updated services possible.

Sincerely,

Steven D. Lyshe
CEO, General Manager



December 11, 2012

Richard McCloud, Chairman
Turtle Mountain Band of Chippewa Indians
4180 Hwy. 281
Belcourt, ND 58316

Dear Mr. McCloud,

Enclosed is a letter dated November 15, 2012, sent to Mr. Merle St. Claire, regarding the FCC's recent release of the Tribal engagement obligations between communication providers and Tribal nations.

As you can see from the attached letter, SRT Communications, Inc. ("SRT") is asking Tribal leaders to review the five (5) Tribal outreach requirements, and is also encouraging Tribal leaders to engage in conversation with SRT management.

Please let me know if you have any questions or concerns, or if you would like to schedule a visit.

Sincerely,

A handwritten signature in black ink, appearing to read 'S. D. Lysne', written over a horizontal line.

Steven D. Lysne
CEO, General Manager

enclosure

NORTH DAKOTA NETWORK CO. (389004)
(1210) LIFELINE PLAN TERMS AND CONDITIONS
47 C.F.R. §54.422(a)(2)
FOC FORM 481, PROGRAM YEAR 2014

LIFELINE SERVICE

A. General

1. The Federal Communications Commission and the North Dakota Public Service Commission require that an Eligible Telecommunications Carrier must offer Lifeline Service, and Enhanced Lifeline and Link Up Service for Tribal Land Residents. Tribal Lands include any federally recognized Indian tribe's reservation, pueblo, or colony.
2. Link Up means an assistance program for qualifying low-income consumers, a reduction in the customary charge for commencing telecommunications service for a single telecommunications connection at a consumer's principal place of residence.
3. Lifeline service means a retail local telecommunications offering for which qualifying low-income consumers pay reduced charges. Lifeline service includes all the services designated for PCS service support. Lifeline service also includes toll limitation. "Toll limitation" includes "toll blocking", an arrangement under which a qualified Lifeline consumer of telecommunications service chooses not to purchase long distance "toll" services for calling outside the local calling area.
4. Lifeline assistance is not available when a subscriber is already receiving one or more Lifeline services concurrently, or one or more subscribers in a household are receiving Lifeline services concurrently.
5. All Lifeline customers will be required to recertify on an annual basis.

NORTH DAKOTA NETWORK CO. (389004)
(1200)LIFELINE PLANS TERMS AND CONDITIONS
47 C.F.R. §54.422(a)(2)
FCC FORM 481, PROGRAM YEAR 2014

B. Wireless Plan Options

NATIONWIDE SMARTPHONE PLANS				REGIONAL SMARTPHONE PLANS				
Nationwide UNLIMITED UNLIMITED Talk UNLIMITED Text UNLIMITED Pics 3GB Data* \$90 a month	Nationwide 1000 1000 Minutes Talk UNLIMITED Text UNLIMITED Pics 3GB Data* \$80 a month	Nationwide 500 500 Minutes Talk UNLIMITED Text UNLIMITED Pics 3GB Data* \$70 a month	 Add a Smartphone \$30 a month Add a Basic Phone \$20 a month Add Data Up to 10GB \$10/1GB	Regional UNLIMITED UNLIMITED Talk UNLIMITED Text UNLIMITED Pics 3GB Data* \$70 a month	Regional 2000 2000 Minutes Talk UNLIMITED Text UNLIMITED Pics 3GB Data* \$60 a month	 Add a Smartphone \$30 a month Add a Basic Phone \$12 a month Add Data up to 10GB \$10/1GB	<small>*Data Overage (per 1GB) \$12</small>	<small>*Data Overage (per 1GB) \$12</small>
NATIONWIDE BASIC PLANS				REGIONAL BASIC PLANS				
Nationwide UNLIMITED UNLIMITED Talk UNLIMITED Text UNLIMITED Pics 30 MB Data** \$70 a month	Nationwide 500 500 Minutes Talk UNLIMITED Text UNLIMITED Pics 30 MB Data** \$50 a month	Nationwide 500 (Voice Only*) 500 Minutes Talk Free Incoming Text \$40 a month	 Add a Basic Phone \$20 a month	Regional 2000 2000 Minutes Talk UNLIMITED Text UNLIMITED Pics 30MB Data** \$35 a month	Regional 2000 (Voice Only*) 2000 Minutes Talk FREE Incoming Text \$25 a month	 Add a Basic Phone \$12 a month	<small>* Overage is billed at 40¢ per minute on nationwide plans except unlimited. **Data Overage (per 1MB) \$1.50</small>	<small>* Overage or domestic long distance billed at 15¢ per minute on regional plans except unlimited. **Data Overage (per 1MB) \$1.50</small>

April 2013

NORTH DAKOTA NETWORK CO. (389004)
(1200) LIFELINE PLANS TERMS AND CONDITIONS
47 C.F.R. §54.422(a)(2)
FOC FORM 481, PROGRAM YEAR 2014

C. Program Based Eligibility

1. A subscriber can receive the Lifeline assistance by providing NDNC their current or prior year's statement of benefits from a qualifying assistance program, a notice or letter of participation in a qualifying assistance program, program participation documents, or another official document demonstrating that the prospective subscriber, one or more of the prospective subscriber's dependents or the prospective subscriber's household receives benefits from a qualifying assistance program. Applicant must complete NDNC's Lifeline Assistance Application. Eligible programs include:

Medicaid
Supplemental Nutrition Assistance Program (SNAP)
Supplemental Security Income (SSI)
Federal Public Housing Assistance (Section 8) (FPHA)
Low Income Home Energy Assistance (LIHEAP)
Temporary Assistance for Needy Families (TANF)
National School Lunch Program (NSLP)

D. Income Based Eligibility

1. A qualifying low income subscriber is eligible to receive Lifeline assistance by certifying under the Income-Based method. The subscriber's household income must be at or below 135% of the Federal Poverty Guidelines. The Universal Service Administration Company (USAC) will be the point of reference to determine the Federal Poverty Guidelines. The subscriber must complete NDNC's Assistance Application, provide NDNC income documentation, and certify the number of household members. The income of all household members will be used to determine eligibility. Acceptable income documentation includes:

Prior year's state, federal, or tribal tax return
Current income statement from an employer
Paycheck stub (must present three consecutive months)
Social security statement of benefits
Veterans administration statement of benefits
Federal or tribal notice letter of participation in General Assistance
Child Support
Divorce Decree
Other official document

NORTH DAKOTA NETWORK CO. (389004)
(1200) LIFELINE PLANS TERMS AND CONDITIONS
47 C.F.R. §54.422(a)(2)
FCC FORM 481, PROGRAM YEAR 2014

E Lifeline Availability and Support Amount

1. Lifeline assistance is available on any North Dakota Network Co. wireless plan as specified in the above Section B., Wireless Plan Options.
2. Federal Lifeline support in the amount of \$9.25 per month will be made available to qualifying low-income consumers.

July 1, 2013

North Dakota Network Co. (dba SRT Wireless)

Section 54.422 Low Income Annual Report

Terms and conditions of voice telephony service plans offer to Lifeline subscribers

**ENHANCED LIFELINE AND LINK UP SERVICE
TRIBAL LANDS**

A. General

1. In order to receive Enhanced Lifeline for residents of Tribal lands, a consumer must complete and sign a SRT Assistance Application.
2. In addition to the \$9.25 Lifeline support indicated in Section 2, Sheet 8 (D), Enhanced Lifeline Assistance for residents of Tribal Lands are eligible to receive an additional \$25 in support. The total amount of Enhanced Lifeline support cannot exceed the amount of the Basic Wireless Service Plan Charge.

B. Program Based Eligibility - Tribal Lands

1. Residents of Tribal lands who are eligible to receive one of the following assistance programs are eligible to receive Enhanced Lifeline.

Medicaid
Supplemental Nutrition Assistance Program (SNAP)
Supplemental Security Income (SSI)
Federal Public Housing Assistance (Section 8) (FPHA)
Low Income Home Energy Assistance (LIHEAP)
Temporary Assistance for Needy Families (TANF)
National School Lunch Program (NSLP)
Bureau of Indian Affairs General Assistance Program
Tribally administered Temporary Assistance for Needy Families (TTANF)
Food Distribution Program on Indian Reservations (FDPIR)
Head Start (meeting income qualifying standards)

C. Income Based Eligibility – Tribal Lands

1. A qualifying low income subscriber is eligible to receive Enhanced Lifeline assistance by certifying under the Income-Based method. The subscriber's household income must be at or below 135% of the Federal Poverty Guidelines. The Universal Service Administration Company (USAC) will be the point of reference to determine the Federal Poverty Guidelines. The subscriber must complete SRT's Assistance Application, provide SRT income documentation, and certify the number of household members. The income of all household members will be used to determine eligibility.

July 1, 2013

North Dakota Network Co. (dba SRT Wireless)

Section 54.422 Low Income Annual Report

Terms and conditions of voice telephony service plans offer to Lifeline subscribers

**ENHANCED LIFELINE AND LINK UP SERVICE
TRIBALLANDS**

C. Income Based Eligibility – Tribal Lands, continued...

Acceptable forms of documentation include:

- Prior year's state, federal, or tribal tax return
- Current income statement from an employer
- Paycheck stub (must present three consecutive months)
- Social security statement of benefits
- Veterans administration statement of benefits
- Federal or tribal notice letter of participation in General Assistance
- Child Support
- Divorce Decree
- Other official document

D. Enhanced Linkup - Tribal Lands

1. A resident of Tribal Lands who is eligible to receive Enhanced Lifeline is also eligible to receive Expanded Link Up.
2. A 100 percent reduction, up to \$100, of the customary charge for commencing telecommunications service for a single telecommunications connection.
3. An eligible resident of Tribal lands may receive the benefit of the Enhanced Tribal Link Up program for a second or subsequent time only for otherwise qualifying commencement of telecommunications service at a principal place of residence with an address different from the address for which Tribal Link Up assistance was provided previously.

E. Enhanced Lifeline Availability and Support Amount - Tribal lands

1. Enhanced Lifeline assistance is available on any North Dakota Network Co. wireless plan as specified in the above Section B., Wireless Plan Options.
2. Federal Lifeline support in the amount of \$9.25 per month will be made available to qualifying low-income consumers. Additional federal Lifeline support of up to \$25 per month will be made available to eligible residents of Tribal lands. The total Lifeline support cannot exceed the Basic Wireless Service Plan Charge.