



**APPLICATION FOR AUCTIONEER LICENSE**  
**PUBLIC SERVICE COMMISSION**  
 SFN 10456 (1-95)



28138 / \$35.00  
 Year

New RSN 1505

NDCC 51-05.1

NAME (Last, First, Middle) - Must be the same as on bond <b>ROSA Christopher Paul</b>				Date of Birth <b>6/19/1980</b>
Other Names You Have Used				Home Telephone No. <b>404-663-3692</b>
Address <b>148 Monterey Bay Drive</b>	City <b>Boynton Beach</b>	State <b>FL</b>	Zip Code <b>33426</b>	Business Telephone No. <b>860-817-5752</b>
Bank Reference (Name of Bank and Address)				

#1020

MARK "X" TO ANSWER THE FOLLOWING QUESTIONS	YES	NO
Have you ever held or applied for an Auctioneer's License in North Dakota or any other state? (Specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Has your auctioneer's license ever been suspended or revoked in this or any other state? (If so, attach explanation)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Have you ever been convicted of, pleaded guilty or nolo contendere to a felony? (Specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Have you ever been a party defendant in any misdemeanor proceedings? (Exclude traffic offenses) (Specify)	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Have you completed an approved course of study for auctioneers? (Specify) <b>Florida Auctioneer Academy</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Have you ever been bonded? (If so, specify purpose of bond.) <b>For Auctioneer Licenses</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Was there ever a loss paid on any bond?	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Have you ever been issued any other type of license? (If so, explain) <b>Other Auctioneer Licenses</b>	<input checked="" type="checkbox"/>	<input type="checkbox"/>

By signing this application, I acknowledge:

- a. to my knowledge, the facts stated are true and correct,
- b. the Commission is authorized to investigate my credit background, in connection with this application, and
- c. the bank reference referred to in this application is authorized to disclose information concerning this reference to the Public Service Commission.

State of Florida )  
 County of Broward ) ss.

\_\_\_\_\_  
 Signature of Applicant

Subscribed and sworn to before me on Nov 26 2014

SEND TO:

North Dakota Public Service Commission  
 State Capitol - 12th Floor  
 Bismarck, ND 58505-0480

Telephone (701) 328-4097

\_\_\_\_\_  
 Notary Public

My commission expires 7/28/18

INCLUDE WITH THE APPLICATION:

- \* \$35.00 LICENSE FEE PAYABLE TO "PSC"
- \* \$5,000 SURETY BOND
- \* Copy of certificate from Auction School showing completion of course of study.
- \* List of References

(Seal)   
 SUSAN RIES  
 MY COMMISSION # FF 140682  
 EXPIRES: July 28, 2018  
 Bonded Thru Budget Notary Services

1 AU-15-101 Filed: 2/10/2015 Pages: 8  
 Application

Christopher Paul Rosa

**Bauske, Shelly A.**

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**From:** Chris Rosa <crossa@legacyauctionservices.com>  
**Sent:** Wednesday, February 18, 2015 7:34 PM  
**To:** Bauske, Shelly A.  
**Subject:** Re: North Dakota Application for Auctioneer License

Dear Madame,

The name of my Bank is Bank of America. The address is

1. 105 North Congress Avenue, Boynton Beach, FL 33426

Thank you for your time. Chris Rosa

- 2.

On Wednesday, February 18, 2015, Bauske, Shelly A. <[sbauske@nd.gov](mailto:sbauske@nd.gov)> wrote:

Good Afternoon Chris

Per our conversation, please email me your Bank Reference (Name of Bank and Address).

Thank you!

Shelly Bauske

Public Service Commission

600 E Boulevard Ave Dept 408

Bismarck ND 58505-0480

701-328-4070



**AUCTIONEER BOND**  
**PUBLIC SERVICE COMMISSION**  
 SFN 10455 (Rev. 12-99)

**BOND NO:** ND 2347

**PRINCIPAL**

Name Christopher Paul Rosa			
Address 148 Monterey Bay Drive	City Boynton Beach	State Florida	Zip Code 33426-8437

**SURETY**

Name Merchants Bonding Company (Mutual)			
Address 2100 Fleur Drive	City Des Moines	State Iowa	Zip Code 50321

We, the above named SURETY, issue this Five Thousand Dollar (\$5,000) bond payable to the State of North Dakota for the benefit of any person injured by the improper conduct of the above-named PRINCIPAL when they are functioning as an auctioneer in North Dakota. The SURETY's liability under this bond is limited to Five Thousand Dollars (\$5,000) for each license year that the bond is in effect.

Liability for this undertaking commences on January 20, 20 15. This bond (check one):  
 Is continuous until cancelled.  
 Terminates on the 31st day of December, 20 \_\_\_\_.

The liability under this bond covers actions of the PRINCIPAL from the effective date of the bond through the date the bond is cancelled. This bond may not be cancelled on less than sixty (60) days notice to the Public Service Commission (Commission); this sixty (60) day period will not begin prior to the day that written notice is received by the Commission.

The undersigned PRINCIPAL and SURETY bind themselves and their heirs, successors, and legal representatives and assigns, jointly and severally.

Countersigned by North Dakota Resident Agent:

Name/Signature	Address	City, State (ND), Zip Code
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**THIS SECTION TO BE COMPLETED BY PRINCIPAL**

**ACKNOWLEDGMENT OF PRINCIPAL**

State of Florida )  
 County of Palm Beach ) ss.

On this 2nd day of February, 20 15.

before me personally appeared Christopher Paul Rosa  
 known to me to be the person or described in and who executed the within instrument as PRINCIPAL and acknowledged to me that he/she executed the same.

Christopher Paul Rosa  
 Signature of Principal

[Signature]  
 Notary Public

My Commission expires Sept 9<sup>th</sup> 2017

**THIS SECTION TO BE COMPLETED BY SURETY**

**ACKNOWLEDGMENT OF SURETY (Corporate Officer)**

State of Pennsylvania )  
 County of Allegheny ) ss.

On this 20th day of January, 20 15.

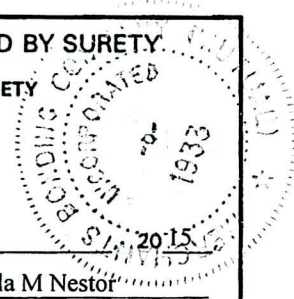
before me personally appeared Marcella M Nestor  
 known to me to be Attorney-In-Fact  
 of said corporation that is described in and that executed the within instrument as SURETY, and acknowledged to me that such corporation executed the same.

Marcella M Nestor Attorney-in-Fact  
 Name and Title of Person Signing for Surety

[Signature]  
 Signature

Nicole C. Hamlett  
 Notary Public Nicole C. Hamlett

My Commission expires 05/15/2017



North Dakota Public Service Commission  
 State Capitol  
 Bismarck, ND 58505-0480  
 Telephone 701-328-2400

COMMONWEALTH OF PENNSYLVANIA  
 Notarial Seal  
 Nicole C. Hamlett, Notary Public  
 City of Pittsburgh, Allegheny County  
 My Commission Expires May 15, 2017  
 MEMBER, PENNSYLVANIA ASSOCIATION OF NOTARIES

ATTORNEY-IN-FACT MUST ATTACH VALID POWER OF ATTORNEY FROM SURETY.

**MERCHANTS**  
**BONDING COMPANY™**  
**POWER OF ATTORNEY**

Bond #: ND 2347

Know All Persons By These Presents, that MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC., both being corporations duly organized under the laws of the State of Iowa (herein collectively called the "Companies"), and that the Companies do hereby make, constitute and appoint, individually,

**Marcella M Nestor**

of **Pittsburgh** and State of **PA** their true and lawful Attorney-in-Fact, with full power and authority hereby conferred in their name, place and stead, to sign, execute, acknowledge and deliver in their behalf as surety any and all bonds, undertakings, recognizances or other written obligations in the nature thereof, subject to the limitation that any such instrument shall not exceed the amount of:

**SEVEN HUNDRED FIFTY THOUSAND (\$750,000.00) DOLLARS**

and to bind the Companies thereby as fully and to the same extent as if such bond or undertaking was signed by the duly authorized officers of the Companies, and all the acts of said Attorney-in-Fact, pursuant to the authority herein given, are hereby ratified and confirmed.

This Power-of-Attorney is made and executed pursuant to and by authority of the following By-Laws adopted by the Board of Directors of the Merchants Bonding Company (Mutual) on April 23, 2011 and adopted by the Board of Directors of Merchants National Bonding, Inc., on October 24, 2011.

"The President, Secretary, Treasurer, or any Assistant Treasurer or any Assistant Secretary or any Vice President shall have power and authority to appoint Attorneys-in-Fact, and to authorize them to execute on behalf of the Company, and attach the seal of the Company thereto, bonds and undertakings, recognizances, contracts of indemnity and other writings obligatory in the nature thereof.

The signature of any authorized officer and the seal of the Company may be affixed by facsimile or electronic transmission to any Power of Attorney or Certification thereof authorizing the execution and delivery of any bond, undertaking, recognizance, or other suretyship obligations of the Company, and such signature and seal when so used shall have the same force and effect as though manually fixed."

In Witness Whereof, the Companies have caused this instrument to be signed and sealed this 7th day of January, 2015.



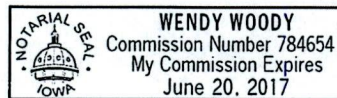
MERCHANTS BONDING COMPANY (MUTUAL)  
MERCHANTS NATIONAL BONDING, INC.

By *Larry Taylor*  
President

STATE OF IOWA  
COUNTY OF POLK ss.

On this 7th day of January, 2015, before me appeared Larry Taylor, to me personally known, who being by me duly sworn did say that he is President of the MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC.; and that the seals affixed to the foregoing instrument is the Corporate Seals of the Companies; and that the said instrument was signed and sealed in behalf of the Companies by authority of their respective Boards of Directors.

In Testimony Whereof, I have hereunto set my hand and affixed my Official Seal at the City of Des Moines, Iowa, the day and year first above written.



*Wendy Woody*

Notary Public, Polk County, Iowa

STATE OF IOWA  
COUNTY OF POLK ss.

I, William Warner, Jr., Secretary of the MERCHANTS BONDING COMPANY (MUTUAL) and MERCHANTS NATIONAL BONDING, INC., do hereby certify that the above and foregoing is a true and correct copy of the POWER-OF-ATTORNEY executed by said Companies, which is still in full force and effect and has not been amended or revoked.

In Witness Whereof, I have hereunto set my hand and affixed the seal of the Companies on this 20th day of January, 2015.



*William Warner Jr.*  
Secretary



# AUCTIONEER/AUCTION CLERK REFERENCE

ND PUBLIC SERVICE COMMISSION  
SFN 18743 (5/2004)

Name of Applicant <i>Christopher Paul Rosa</i>			
Address <i>148 Monterey Bay Drive</i>	City <i>Boynton Beach</i>	State <i>FL</i>	Zip Code <i>33426</i>

**APPLICANT:** Two references must be included with your auctioneer / auction clerk license application. Ask your references to complete this form and return it to you, so you can include the completed forms with your license application package.

1. If you are currently licensed in another state, provide this form to two people whom you have called sales for within the last year.
2. If you are not currently licensed in another state, but have graduated from an approved auction school, provide this form to two people that are affiliated with that auction school.

**REFERENCE:** Please complete this form and provide it to the applicant asking you to be a reference so they can include this form with their license application package.

Describe your professional, educational, or personal relationship with the applicant. If they have provided you with auction services, please describe the services they provided and state when they were provided.

*I am the exec. administrator for Sentry Self Storage LLC and have used Christopher Rosa to conduct auctions at our locations for many years. He is trustworthy, honest, and extremely helpful. He has conducted Auctions for us in Fla, Tx, GA, and Indiana*

Do you consider the applicant to be honest, truthful, fair, and competent to transact the business of an auctioneer or auction clerk?

Yes  No

To the best of your knowledge, is the applicant at least 18 years of age?

Yes  No

Provide any other information that you believe would help the Commission determine if this applicant is competent to serve as an auctioneer / auction clerk.

*Christopher has a vast knowledge of the auction process in various states and is always ready to lend his expertise when needed. He is always punctual.*

Signature of Reference

*Susan Ries*

Public Service Commission  
600 E Boulevard Ave - Dept 408  
Bismarck, ND 58505-0480  
Telephone: 701-328-4097  
[www.psc.state.nd.us](http://www.psc.state.nd.us)



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**REFERENCE:** Please complete this form and provide it to the applicant asking you to be a reference so they can include this form with their license application package.

Describe your professional, educational, or personal relationship with the applicant. If they have provided you with auction services, please describe the services they provided and state when they were provided.

Chris has been our auctioneer for close to 5 years. He is always on time, professional, and is able to answer any questions we may have. We are more than happy with the services provided. This is in the state of Florida.

Do you consider the applicant to be honest, truthful, fair, and competent to transact the business of an auctioneer or auction clerk? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	To the best of your knowledge, is the applicant at least 18 years of age? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No
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Provide any other information that you believe would help the Commission determine if this applicant is competent to serve as an auctioneer / auction clerk.

Chris has an incredible knowledge of the auction process and the laws.

Signature of Reference 
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600 E Boulevard Ave - Dept 408  
Bismarck, ND 58505-0480  
Telephone: 701-328-4097  
[www.psc.state.nd.us](http://www.psc.state.nd.us)

# FLORIDA AUCTIONEER ACADEMY

ORLANDO, FLORIDA

*is proud to award this*

**DIPLOMA**

to


*Chris Rosa*

*who has successfully completed all requirements  
of the 90 hour*

**AUCTIONEER**

*program*

*and is hereby declared an honorary Colonel*



*President*

August 15, 2010

Ken Lawson, Secretary

Rick Scott, Governor

## CERTIFICATION OF LICENSURE

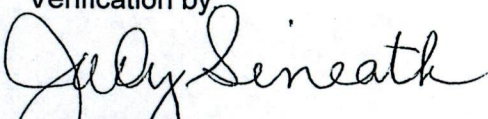
January 7, 2015

NAME: CHRISTOPHER PAUL ROSA  
PROFESSION: AUCTIONEER  
LICENSE #: AU 4167  
STATUS: CURRENT, ACTIVE  
ISSUE DATE: 10/20/2010  
EXPIRATION: 11/30/2015

To Whom It May Concern:

I, Judy Sineath, Administrative Assistant for the Office of the Board of Auctioneers, Department of Business and Professional Regulation, am the Official Custodian of the records of the office. I have conducted a thorough search of the office's official records, and I hereby certify that Christopher Paul Rosa, AU 4167, was found to be an Auctioneer in the state of Florida pursuant to Chapter 468, Part VI, Florida Statutes.

Verification by:



Judy Sineath  
Administrative Assistant II

