



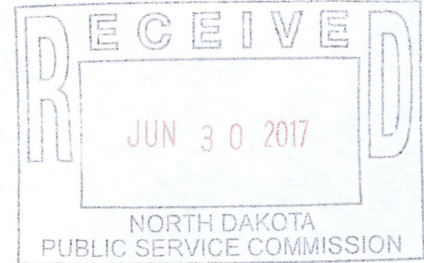
Your business is our business.

7852 Walker Drive, Suite 200  
Greenbelt, Maryland 20770  
phone: 301-459-7590, fax: 301-577-5575  
internet: www.jsitel.com, e-mail: jsi@jsitel.com

VIA OVERNIGHT MAIL

June 30, 2017

Mr. Darrell Nitschke  
Executive Secretary  
North Dakota Public Service Commission  
600 East Boulevard Avenue, Twelfth Floor  
Bismarck, ND 58505-0480



**RE: Federal Communications Commission's 2017 ETC Annual Report**

Pursuant to Sections 54.313 and 54.422 of the Federal Communications Commission's Rules (47 C.F.R. §§ 54.313, 54.422), attached please find an original copy of the ETC Annual Report (FCC Form 481) that was filed with USAC and the FCC. The attached is submitted by JSI on behalf of United Telephone Mutual Aid Corp. & Turtle Mountain Communications. An electronic copy of the redacted version has also been submitted.

Please note that some of the information included in the completed FCC Form 481 Report is confidential and proprietary. Confidential information has been placed in an envelope marked "PROTECTED INFORMATION - PRIVATE" and sealed.

Along with this filing you will find enclosed a copy of this transmittal letter marked "File Stamp Copy" to be stamped and returned to JSI as confirmation that the hard copies of this filing have been received by the Commission as well. Please return the stamped copy of this transmittal letter in the envelope provided for this purpose.

Please contact the undersigned if you have any questions.

Sincerely,

Cassandra Heyne, Consultant  
Authorized Representative for  
United Telephone Mutual Aid Corp. & Turtle  
Mountain Communications

Enclosures

Perry Oster, United Telephone Mutual Aid Corp. & Turtle Mountain Communications

Echelon Building II, Suite 200  
9430 Research Blvd., Austin, TX 78759  
phone: 512-338-0473, fax: 512-346-0822

Eagandale Corporate Center, Suite 310  
1380 Corporate Center Curve, Eagan, MN 55121  
phone: 651-452-2660, fax: 651-452-1909

6849  
Bldg  
phor

1 **PU-17-279** Filed: 6/30/2017 Pages: 67  
**Form 481 - Copy of FCC 47CFR Sections 54.313 & 54.422 - redacted**

Turtle Mountain Communications, Inc.  
Cassandra Heyne, Consultant



Your business  
is our business.

7852 Walker Drive, Suite 200  
Greenbelt, Maryland 20770  
phone: 301-459-7590, fax: 301-577-5575  
internet: [www.jsitel.com](http://www.jsitel.com), e-mail: [jsi@jsitel.com](mailto:jsi@jsitel.com)

June 28, 2017

**Via Hand Delivery**

Marlene H. Dortch, Secretary  
Federal Communications Commission  
Office of the Secretary  
445 12<sup>th</sup> Street, SW  
Washington, DC 20554

**Re: WC Docket No. 14-58  
2017 ETC Annual Report of United Telephone Mutual Aid Corp.  
& Turtle Mountain Communications  
Study Area Code 381636**

Dear Ms. Dortch:

On behalf of United Telephone Mutual Aid Corp. & Turtle Mountain Communications ("Company"), JSI files the attached confidential version of the FCC Form 481 ETC annual reporting information pursuant to sections 54.313 and 54.422 of the Commission's rules.<sup>1</sup> Company seeks confidential treatment under Protective Order for section 54.313(f)(2) financial information.<sup>2</sup> The redacted version is being filed this date via the FCC's Electronic Comment Filing System.

Please direct any questions regarding the filing to the undersigned.

Sincerely,

John Kuykendall  
JSI Vice President  
301-459-7590  
[jkuykendall@jsitel.com](mailto:jkuykendall@jsitel.com)

<sup>1</sup> 47 C.F.R. §§ 54.313, 54.422.

<sup>2</sup> *Connect America Fund et al.*, WC Docket Nos. 10-90 and 14-58, Protective Order, DA 16-296 rel. March 22, 2016 (Protective Order). 47 C.F.R. § 54.313(f)(2).

**FCC Form 481 - Carrier Annual Reporting**  
**CONFIDENTIAL INFORMATION - SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION**  
**Data Collection Form**

FCC Form 481

OMB Control No. 3060-0086 / OMB Control No. 3060-0018  
July 2013

<010> Study Area Code	381636
<015> Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020> Program Year	2018
<030> Contact Name: Person USAC should contact with questions about this data	Perry Oster
<035> Contact Telephone Number: Number of the person identified in data line <030>	7012565156 ext.
<039> Contact Email Address: Email of the person identified in data line <030>	poster@utma.com
Form Type	54.313 and 54.422



(300) Unfulfilled Service Request  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636

<015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<300> Unfulfilled service request (voice)

<310> Detail on attempts (voice) \_\_\_\_\_  
Name of Attached Document

<320> Unfulfilled service request (broadband)

<330> Detail on attempts (broadband) \_\_\_\_\_  
Name of Attached Document

<b>(400) Number of Complaints per 1,000 customers</b> <b>Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010> Study Area Code	381636
<015> Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020> Program Year	2018
<030> Contact Name - Person USAC should contact regarding this data	Perry Oster
<035> Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	pster@utma.com
<400> Select from the drop-down list to indicate how you would like to report voice complaints (zero or greater) for voice telephony service in the prior calendar year for each service area in which you are designated an ETC for any facilities you own, operate, lease, or otherwise utilize.	Offered only fixed voice
<410> Complaints per 1000 customers for fixed voice	0.0
<420> Complaints per 1000 customers for mobile voice	
<430> Select from the drop-down list to indicate how you would like to report end-user customer complaints (zero or greater) for broadband service in the prior calendar year for each service area in which you are designated an ETC for any facilities you own, operate, lease, or otherwise utilize.	Offered only fixed broadband
<440> Complaints per 1000 customers for fixed broadband	0.0
<450> Complaints per 1000 customers for mobile broadband	

(500) Compliance With Service Quality Standards and Consumer Protection Rules  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	381636
<015>	Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oacer
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	poacer@utma.com
<500>	Certify compliance with applicable service quality standards and consumer protection rules	Yes
<510>	Descriptive document for Service Quality Standards & Consumer Protection Rules Compliance	381636nd510 - UT.pdf
<515>	Certify compliance with applicable minimum service standards	

<b>(600) Functionality in Emergency Situations</b>		CONFIDENTIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION	
<b>Data Collection Form</b>		OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013	

<010> Study Area Code	381636
<015> Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020> Program Year	2018
<030> Contact Name - Person USAC should contact regarding this data	Perry Oates
<035> Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	poates@utma.com
<600> Certify compliance regarding ability to function in emergency situations	Yes
<610> Descriptive document for Functionality in Emergency Situations	381636nd610 - UT.pdf







(900) Tribal Lands Reporting  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986 / OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636

<015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<900> Does the filing entity offer tribal land services? (Y/N) Yes

<910> Tribal Land(s) on which ETC Serves

Turtle Mountain Tribe of Chippewa

<920> Tribal Government Engagement Obligation

381636nd920.pdf

Name of Attached Document

If your company serves Tribal lands, please select (Yes,No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

Select Yes or No or Not Applicable
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions.
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

**(1000) Voice and Broadband Service Rate Comparability Data Collection Form**

FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code 381636  
 <015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.  
 <020> Program Year 2018  
 <030> Contact Name - Person USAC should contact regarding this data Perry Oster  
 <035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<1000> Voice services rate comparability certification Yes

<1010> Attach detailed description for voice services rate comparability compliance

<1020> Broadband comparability certification

<1030> Attach detailed description for broadband comparability compliance

\_\_\_\_\_  
 Name of Attached Document

Yes - Pricing is no more than the most recent applicable benchmark announced by the Wireline Competition Bureau

\_\_\_\_\_  
 Name of Attached Document

**(1100) No Terrestrial Backhaul Reporting  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636

<015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

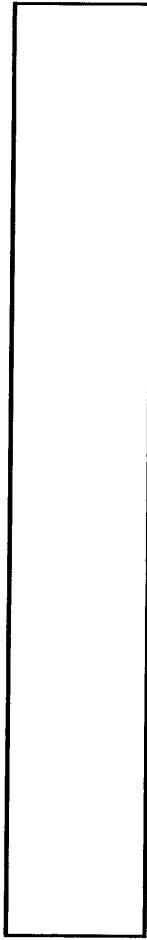
<1100> Certify whether terrestrial backhaul options exist (Y/N)

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

**(1200) Terms and Condition for Lifeline Customers  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636  
 <015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.  
 <020> Program Year 2018  
 <030> Contact Name - Person USAC should contact regarding this data Perry Oster  
 <035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> pooster@utma.com



<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

<1220> Link to Public Website

HTTP <https://www.utma.com/resources.php>

"Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

**(2005) Price Cap Carrier Additional Documentation**

**Data Collection Form**

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0988/OMB Control No. 3060-0819

July 2013

<010> Study Area Code 381636

<015> Study Area Name UNITED TELEPHONE MUTUAL AID CORP.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of Incremental High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

**Incremental Connect America Phase I reporting**

<p>&lt;2011&gt; 3rd Year Certification 47 CFR §54.313(b)(1)(ii) - Note that for the July 2017 certification, this applies to Round 2 recipients of Incremental Support.</p>	<p>[ ]</p>
<p>&lt;2022&gt; Recipient certifies, representing year three after filing a notice of acceptance of funding pursuant to 54.312(c), that the locations in question are not receiving support under the Broadband Initiatives Program or the Broadband Technology Opportunities Program for projects that will provide broadband with speeds of at least 4 Mbps/1Mbps - 54.313(b)(2)(i). Round 2 recipients only.</p>	<p>[ ]</p>
<p>&lt;2023&gt; The attachment on line 2024 includes a statement of the total amount of capital funding expended in the previous year in meeting Connect America Phase I deployment obligations, accompanied by a list of census blocks indicating where funding was spent. This covers year three - 54.313(b)(2)(ii). Round 2 recipients only.</p>	<p>[ ]</p>
<p>&lt;2024A&gt; Round 2 Recipient of Incremental Support?</p>	<p>[ ]</p>
<p>&lt;2024B&gt; Attach list of census blocks indicating where funding was spent in year three - 54.313(b)(2)(ii). Round 2 recipients only.</p>	<p>[ ]</p>
<p>&lt;2025A&gt; Round 2 Recipient of Incremental Support?</p>	<p>[ ]</p>
<p>&lt;2025B&gt; Attach geocoded information for Phase I milestone reports (Round 2 for year three) - Connect America Fund, WC Docket 10-90, Report and Order, FCC 13-73, paragraph 35 (May 22, 2013).</p>	<p>[ ]</p>
<p>&lt;2015&gt; 2016 and future Frozen Support Certification 47 CFR § 54.313(c)(4)</p>	<p>[ ]</p>

Name of Attached Document Listing Required Information

Name of Attached Document Listing Required Information

(2005) Price Cap Carrier Additional Documentation

Data Collection Form

Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}

<2016> Certification support used to build broadband

Connect America Phase II Reporting {47 CFR § 54.313(e)}

<2017A> Connect America Fund Phase II recipient?

<2017C> Total amount of Phase II support, if any, the price cap carrier used for capital expenditures in 2016.

<2018> Attach the number, names, and addresses of community anchor institutions to which the carrier newly began providing access to broadband service in the preceding calendar year - 54.313(e)(1)(ii)(A)

<2019> Recipient certifies that it bid on category one telecommunications and Internet access services in response to all FCC Form 470 postings seeking broadband service that meets the connectivity targets for the schools and libraries universal service support program for eligible schools and libraries located within any area in a census block where the carrier is receiving Phase II model-based support, and that such bids were at rates reasonably comparable to rates charged to eligible schools and libraries in urban areas for comparable offerings - 54.313(e)(1)(ii)(C)

[Empty box]

[Empty box]

[Empty box]

[Empty box]

Name of Attached Document Listing Required Information

[Empty box]

(3005) Rate of Return Carrier Additional Documentation  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	381636
<015>	Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

Select from the drop down menu or check the boxes below to note compliance with 54.313(f)(1). Privately held carriers must ensure compliance with the financial reporting requirements set forth in 47 CFR 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

(3009) Progress Report on 5 Year Plan  
Carrier certifies to 54.313(f)(1)(iii)

Yes - Attach Certification

(3010A) Certification of Public Interest Obligations (47 CFR § 54.313(f)(1)(i))

381636nd3010 - UT.pdf

(3010B) Please Provide Attachment

Name of Attached Document Listing Required Information

(3012A) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))

Yes - Attach New Community Anchors

Copy of Anchor Institutions Template.xlsm

(3012B) Please Provide Attachment

Name of Attached Document Listing Required Information

(3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))

(3014) If yes, does your company file the RUS annual report

(Yes/No)

Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

(3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)

(3016) Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows

(3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation

Name of Attached Document Listing Required Information

381636nd3017 - UT.pdf

(3018) If the response is no on line 3014, is your company audited?

(Yes/No)

If the response is yes on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:

(3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers

(3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

(3021) Management letter and/or audit opinion issued by the independent certified public accountant that performed the company's financial audit.

If the response is no on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:

(3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers

(3023) Underlying information subjected to a review by an independent certified public accountant

(3024) Underlying information subjected to an officer certification.

(3025) Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows

(3026) Attach the worksheet listing required information

Name of Attached Document Listing Required Information

(4005) Rural Broadband Experiment Additional Documentation  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	381636
<015>	Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	post@utma.com

**4005 Rural Broadband Experiment**

Authorized Rural Broadband Experiment (RBE) recipients must address the certification for public interest obligations, provide a list of newly served community anchor institutions, and provide a list of locations where broadband has been deployed.

**Public Interest Obligations – FCC 14-98 (paragraphs 26-29, 78)**

Please address Line 4001 regarding compliance with the Commission’s public interest obligations. All RBE participants must provide a response to Line 4001.

**4001.** Recipient certifies that it is offering broadband to the identified locations meeting the requisite public interest obligations consistent with the category for which they were selected, including broadband speed, latency, usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas?

**Community Anchor Institutions – FCC 14-98 (paragraph 79)**

**4003a.** RBE participants must provide the number, names, and addresses of community anchor institutions to which they newly deployed broadband service in the preceding calendar year. On this line, please respond (yes – attach new community anchors, no – no new anchors) to indicate whether this list will be provided.

If yes to 4003A, please provide a response for 4003B.

**4003b.** Provide the number, names and addresses of community anchor institutions to which the recipient newly began providing access to broadband service in the preceding calendar year.

Name of Attached Document Listing Required Information \_\_\_\_\_

**Broadband Deployment Locations – FCC 14-98 (paragraph 80)**

**4004a.** Attach a list of geocoded locations to which broadband has been deployed as of the June 1st immediately preceding the July 1st filing deadline for the FCC Form 481.

Name of Attached Document Listing Required Information \_\_\_\_\_

**4004b.** Attach evidence demonstrating that the recipient is meeting the relevant public service obligations for the identified locations. Materials must at least detail the pricing, offered broadband speed and data usage allowances available in the relevant geographic area.

Name of Attached Document Listing Required Information \_\_\_\_\_

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	381636
<015>	Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

<b>Certification - Agent / Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
---	--

<b>&lt;010&gt;</b>	Study Area Code	381636
<b>&lt;015&gt;</b>	Study Area Name	UNITED TELEPHONE MUTUAL AID CORP.
<b>&lt;020&gt;</b>	Program Year	2018
<b>&lt;030&gt;</b>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<b>&lt;035&gt;</b>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<b>&lt;039&gt;</b>	Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:**

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) <u>JSI</u> is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent:	JSI
Name of Reporting Carrier:	UNITED TELEPHONE MUTUAL AID CORP.
Signature of Authorized Officer:	CERTIFIED ONLINE Date: 06/28/2017
Printed name of Authorized Officer:	Perry Oster
Title or position of Authorized Officer:	General Manager/CEO
Telephone number of Authorized Officer:	7012565156 ext.102
Study Area Code of Reporting Carrier:	381636 Filing Due Date for this form: 07/03/2017
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**TO BE COMPLETED BY THE AUTHORIZED AGENT:**

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	UNITED TELEPHONE MUTUAL AID CORP.
Name of Authorized Agent Firm:	JSI
Signature of Authorized Agent or Employee of Agent:	CERTIFIED ONLINE Date: 06/28/2017
Name of Authorized Agent Employee:	Cassandra Heyne
Title or position of Authorized Agent or Employee of Agent:	Consultant
Telephone number of Authorized Agent or Employee of Agent:	3014597590 ext.
Study Area Code of Reporting Carrier:	381636 Filing Due Date for this form: 07/03/2017
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**Attachments**

## **United Telephone Mutual Aid Corporation's Demonstration of Compliance with Applicable Service Quality Standards and Consumer Protection Rules**

In establishing this certification in its *2005 ETC Order*,<sup>1</sup> the FCC found that an ETC must make "a specific commitment to objective measures to protect consumers."<sup>2</sup> The FCC found that for wireless ETCs, compliance with CTIA's Consumer Code for Wireless Service would satisfy this requirement" and that the sufficiency of other commitments would be considered on a case-by-case basis.<sup>3</sup> In this context, the FCC stated, "to the extent a wireline or wireless ETC applicant is subject to consumer protection obligations under state law, compliance with such laws may meet our requirement."<sup>4</sup>

United Telephone Mutual Aid ("Company") hereby certifies that it is complying with applicable service quality standards and consumer protection rules. The Company is subject to consumer protection obligations under federal law and, to a limited extent under North Dakota state law as a telecommunications carrier subject to North Dakota Public Service Commission regulation. These obligations include, but are not limited to, the following: (1) adherence to state requirements that the Company complies with consumer protection and service quality standards pursuant to North Dakota

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<sup>1</sup> *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, FCC 05-46 (rel. Mar. 17, 2005) ("*2005 ETC Order*").

<sup>2</sup> *Id.* at para. 28.

<sup>3</sup> *Id.* The FCC noted that under the CTIA Consumer Code, wireless carriers agree to: "(1) disclose rates and terms of service to customers; (2) make available maps showing where service is generally available; (3) provide contract terms to customers and confirm changes in service; (4) allow a trial period for new service; (5) provide specific disclosures in advertising; (6) separately identify carrier charges from taxes on billing statements; (7) provide customers the right to terminate service for changes to contract terms; (8) provide ready access to customer service; (9) promptly respond to consumer inquiries and complaints received from government agencies; and (10) abide by policies for protection of consumer privacy." *Id.* at n. 71.

<sup>4</sup> *Id.* at n. 72.

Administrative Code Article 69, including customer requests for lowest price service alternatives (69-09-05-01), discontinuance of telecommunications services (69-09-05-02), deposits and guarantees (69-09-05-03); (2) truth-in-billing requirements, and (3) CPNI, Red Flag Rules and other applicable federal and state requirements governing the protection of customers' privacy.

The Company is subject to consumer protection obligations for broadband services under federal law. These obligations include, but are not limited to, the following: public disclosure of accurate information regarding network management practices, performance, and commercial terms of broadband internet access services; as a means of providing sufficient information for consumers to make informed choices regarding use of such services, and for content, application, service and device providers to develop, market, and maintain internet offerings as specified in F.C.C. 47 C.F.R. Part 8 §8.3. The Company furthermore will comply with all requirements set forth in the *2015 Open Internet Order* when it becomes effective.

**Turtle Mountain Communications' Demonstration of Compliance with Applicable Service Quality Standards and Consumer Protection Rules:**

In establishing this certification in its *2005 ETC Order*,<sup>1</sup> the FCC found that an ETC must make “a specific commitment to objective measures to protect consumers.”<sup>2</sup> The FCC found that for wireless ETCs, compliance with CTIA’s Consumer Code for Wireless Service would satisfy this requirement” and that the sufficiency of other commitments would be considered on a case-by-case basis.<sup>3</sup> In this context, the FCC stated, “to the extent a wireline or wireless ETC applicant is subject to consumer protection obligations under state law, compliance with such laws may meet our requirement.”<sup>4</sup>

Turtle Mountain Communications (“Company”) hereby certifies that it is complying with applicable service quality standards and consumer protection rules. The Company is subject to consumer protection obligations under federal law and, to a limited extent under North Dakota state law as a telecommunications carrier subject to North Dakota Public Service Commission regulation. These obligations include, but are not limited to, the following: (1) adherence to state requirements that the Company complies with consumer protection and service quality standards pursuant to North Dakota Administrative Code Article 69, including customer requests for lowest price

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<sup>1</sup> *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, FCC 05-46 (rel. Mar. 17, 2005) (“*2005 ETC Order*”).

<sup>2</sup> *Id.* at para. 28.

<sup>3</sup> *Id.* The FCC noted that under the CTIA Consumer Code, wireless carriers agree to: “(1) disclose rates and terms of service to customers; (2) make available maps showing where service is generally available; (3) provide contract terms to customers and confirm changes in service; (4) allow a trial period for new service; (5) provide specific disclosures in advertising; (6) separately identify carrier charges from taxes on billing statements; (7) provide customers the right to terminate service for changes to contract terms; (8) provide ready access to customer service; (9) promptly respond to consumer inquiries and complaints received from government agencies; and (10) abide by policies for protection of consumer privacy.” *Id.* at n. 71.

<sup>4</sup> *Id.* at n. 72.

service alternatives (69-09-05-01), discontinuance of telecommunications services (69-09-05-02), deposits and guarantees (69-09-05-03); (2) truth-in-billing requirements, and (3) CPNI, Red Flag Rules and other applicable federal and state requirements governing the protection of customers' privacy.

The Company is subject to consumer protection obligations for broadband services under federal law. These obligations include, but are not limited to, the following: public disclosure of accurate information regarding network management practices, performance, and commercial terms of broadband internet access services; as a means of providing sufficient information for consumers to make informed choices regarding use of such services, and for content, application, service and device providers to develop, market, and maintain internet offerings as specified in F.C.C. 47 C.F.R. Part 8 §8.3. The Company furthermore will comply with all requirements set forth in the *2015 Open Internet Order* when it becomes effective.

## **United Telephone Mutual Aid Corporation's Demonstration of Ability to Function in Emergency Situations**

United Telephone Mutual Aid Corporation ("Company") hereby certifies that it is able to function in emergency situations as set forth in §54.202(a)(2)<sup>1</sup> and North Dakota Administrative Code 69-09-05-12. The Company's voice and broadband network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Specifically, each central office building can be supplied with standby generators and has battery back-up that enables the central office to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company's central office can maintain 8 hours, plus or minus 15 percent, of battery reserve rated for peak traffic load requirements, and a permanent auxiliary power unit is installed or a mobile power source is available which can be delivered and connected within four hours. The Company has battery backup at all office locations and in its electronic equipment sites capable of running for a minimum of 8 hours, plus or minus 15

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<sup>1</sup> Section 54.202(a)(2) requires ETCs that are designated by the Commission to "demonstrate its ability to remain functional in emergency situations, including a demonstration that it has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations."

percent. Length of run time is determined by the equipment serving the area and the number of customers working out of the equipment. Generators are installed at twenty-two of the thirty-one Central Office locations with a mobile power source available at the other nine Central Office locations within four hours. They will continue to run as long as the Company has access to fuel. The Company tests the batteries at least once per year. The Company complies with the FCC's backup power requirements, effective October 16, 2015.

## **Turtle Mountain Communications' Demonstration of Ability to Function in Emergency Situations**

Turtle Mountain Communications ("Company") hereby certifies that it is able to function in emergency situations as set forth in §54.202(a)(2)<sup>2</sup> and North Dakota Administrative Code 69-09-05-12. The Company's voice and broadband network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Specifically, each central office building can be supplied with standby generators and has battery back-up that enables the central office to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company's central office can maintain 8 hours, plus or minus 15 percent, of battery reserve rated for peak traffic load requirements, and a permanent auxiliary power unit is installed or a mobile power source is available which can be delivered and connected within four hours. The Company has battery backup at all office locations and in its electronic equipment sites capable of running for a minimum of 8 hours, plus or minus 15

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<sup>2</sup> Section 54.202(a)(2) requires ETCs that are designated by the Commission to "demonstrate its ability to remain functional in emergency situations, including a demonstration that it has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations."

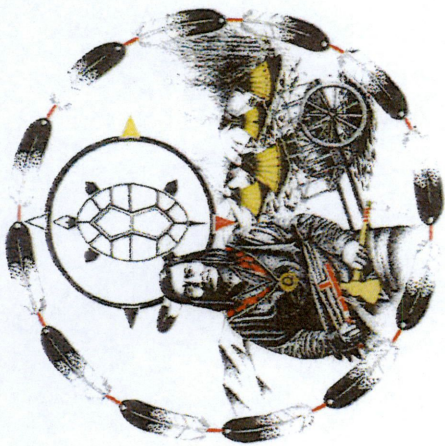
percent. Length of run time is determined by the equipment serving the area and the number of customers working out of the equipment. Generators are installed at twenty-two of the thirty-one Central Office locations with a mobile power source available at the other nine Central Office locations within four hours. They will continue to run as long as the Company has access to fuel. The Company tests the batteries at least once per year.







CONFIDENTIAL INFORMATION SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION



TURTLE MOUNTAIN BAND OF CHIPPEWA INDIANS  
**TRIBAL BUSINESS LICENSE**

DISTRIBUTED BY TURTLE MOUNTAIN TRIBAL DEPARTMENT  
4180 HIWAY 281, PO BOX 900, BELCOURT, NORTH DAKOTA 58316

Turtle Mountain Communications - PO Box 729, 4511 7th Ave., Langdon, ND 58249

HAS BEEN GRANTED A BUSINESS LICENSE TO OPERATE A

telecommunications

BUSINESS WITHIN THE JURISDICTION OF THE TRIBE

THIS LICENSE EXPIRES July 23, 2018

Stanley Baker

June 9, 2017



NO FEE

## Tribal Engagement Activities Conducted in 2016

March 31 Skydancer Casino – 9:45 a.m. to 3:15 p.m.

Kirsten Gendron, Customer Service Manager  
Ross Feil, Facilities Manger  
Tribal Council

Attend a open public Tribal Council meeting to discuss off the reservation utility companies providing service to Tribal members.

April 5, 2016

Ross Feil, Facilities Manager  
Jim Parisian, TERO

Meet with Jim to discuss TERO tax and paid balance due to the tribe.

April 5, 2016

Ross Feil, Facilities Manager  
Lyndon Desjarlais, Director of BIA

Meet with Lyndon about setting up service line agreements for applicants living on tribal land.

Lyndon will discuss with Tribal Chairman and try to resolve issue.

Template for Reporting Community Anchor Institutions

Number	Name	Address
1	MINNKOTA POWER COOP INC	9880 84TH AVE NE, CALVIN ND
2	CHS INC	401 RAILROAD ST, CALVIN, ND
3	CHS INC	212 4TH AVE, LANGDON, ND
4	NORTHLAND COMMUNITY HEALTH	402 2ND AVE, ROLETTE, ND
5	DUNSEITH SCHOOLS	101 2ND AVE SW, DUNSEITH, ND
6	TM SUICIDE PREVENTION	9708 HWY 281, DUNSEITH, ND
7	TM HOUSING AUTHORITY	3061 HWY 281, DUNSEITH, ND
8	TM COMMUNITY COLLEGE	3878 HWY 281, DUNSEITH, ND
9	HEARTS OF HOPE	154 JOHN NORQUAY ST BST-2, BELCOURT, ND
10	PAROLE & PROBATION OFFICE	113 MAIN AVE, ROLLA, ND
11	TM HOUSING AUTHORITY	112 EDUCATION LANE NE, BELCOURT, ND
12	TM HOUSING AUTHORITY	4461 BIA ROAD 10, BELCOURT ND
13	TMBCI HEALING TO WELLNESS	155 JOHN NORQUAY ST, ROLLA, ND
14	TMBCI NEW PATHWAYS	1020 CAROLE JAMES ST, BELCOURT, ND
15	US PROBATION & PRETRIAL	916 CHIEF LITTLE SHELL ST NE, BELCOURT, ND
16	WILLOW MANOR	1009 LOUIS RIEL DR, BELCOURT, ND
17	NORTH CENTRAL ELEC CO OP INC	538 11TH ST W, BOTTINEAU, ND
18	WALHALLA CITY	1103 CENTRAL AVE, WALHALLA, ND
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**FCC Form 481 - Carrier Annual Reporting**  
**CONFIDENTIAL INFORMATION SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION**  
**Data Collection Form**

FCC Form 481  
OMB Control No. 3050-0086/OMB Control No. 3050-0015  
July 2013

<010> Study Area Code	381636
<015> Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020> Program Year	2018
<030> Contact Name: Person USAC should contact with questions about this data	Perry Oster
<035> Contact Telephone Number: Number of the person identified in data line <030>	7012565156 ext.
<039> Contact Email Address: Email of the person identified in data line <030>	poster@utma.com
Form Type	54.313 and 54.422



(300) Unfulfilled Service Request  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636

<015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<300> Unfulfilled service request (voice)

<310> Detail on attempts (voice) \_\_\_\_\_  
Name of Attached Document

<320> Unfulfilled service request (broadband)

<330> Detail on attempts (broadband) \_\_\_\_\_  
Name of Attached Document

(400) Number of Complaints per 1,000 customers Data Collection Form	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	381636	
<015>	Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.	
<020>	Program Year	2018	
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oacer	
<035>	Contact Telephone Number - Number of person identified in data line	7012565156 ext.	
<039>	Contact Email Address - Email Address of person identified in data line	poacer@tucma.com	
<400>	Select from the drop-down list to indicate how you would like to report voice complaints (zero or greater) for voice telephony service in the prior calendar year for each service area in which you are designated an ETC for any facilities you own, operate, lease, or otherwise utilize.		Offered only fixed voice
<410>	Complaints per 1000 customers for fixed voice	0.0	
<420>	Complaints per 1000 customers for mobile voice		
<430>	Select from the drop-down list to indicate how you would like to report end-user customer complaints (zero or greater) for broadband service in the prior calendar year for each service area in which you are designated an ETC for any facilities you own, operate, lease, or otherwise utilize.		Offered only fixed broadband
<440>	Complaints per 1000 customers for fixed broadband	0.0	
<450>	Complaints per 1000 customers for mobile broadband		

**[500] Compliance With Service Quality Standards and Consumer Protection Rules  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code	381636
<015> Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020> Program Year	2018
<030> Contact Name - Person USAC should contact regarding this data	Perry Oater
<035> Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	poater@tma.com
<500> Certify compliance with applicable service quality standards and consumer protection rules:	Yes
	381636nd510 r TM .pdf
<510> Descriptive document for Service Quality Standards & Consumer Protection Rules Compliance	
<515> Certify compliance with applicable minimum service standards	

(600) Functionality in Emergency Situations INFORMATION-SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION  
Data Collection Form OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code	381636
<015> Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020> Program Year	2018
<030> Contact Name - Person USAC should contact regarding this data	Perry Oster
<035> Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	postez@tucma.com
<600> Certify compliance regarding ability to function in emergency situations	Yes
<610> Descriptive document for Functionality in Emergency Situations	381636nd610 - TM.pdf





**(800) Operating Companies  
Data Collection Form**

FCC Form 481

OMB Control No. 3060-0986 / OMB Control No. 3060-0819

July 2013

<010> Study Area Code 381636

<015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.

<020> Program Year 2018

<030> Contact Name - Person USAC should contact regarding this data Perry Oster

<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.

<039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<810> Reporting Carrier Turtle Mountain Communications, Inc.

<811> Holding Company UTMA

<812> Operating Company Turtle Mountain Communications, Inc.

<813> <a1>

<a2>

<a3>

**Affiliates**

SAC

Doing Business As Company or Brand Designation

-- See attached worksheet --

**(900) Tribal Lands Reporting  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-9986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636  
 <015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.  
 <020> Program Year 2018  
 <030> Contact Name - Person USAC should contact regarding this data Perry Oster  
 <035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

<900> Does the filing entity offer tribal land services? (Y/N) Yes

<910> Tribal Land(s) on which ETC Serves  
 Turtle Mountain Tribe of Chippewa

<920> Tribal Government Engagement Obligation  
 381636nd920.pdf

Name of Attached Document

If your company serves Tribal lands, please select (Yes, No, NA) for each these boxes to confirm the status described on the attached PDF, on line 920, demonstrates coordination with the Tribal government pursuant to § 54.313(a)(9) includes:

Select Yes or No or Not Applicable
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes
Yes

- <921> Needs assessment and deployment planning with a focus on Tribal community anchor institutions.
- <922> Feasibility and sustainability planning;
- <923> Marketing services in a culturally sensitive manner;
- <924> Compliance with Rights of way processes
- <925> Compliance with Land Use permitting requirements
- <926> Compliance with Facilities Siting rules
- <927> Compliance with Environmental Review processes
- <928> Compliance with Cultural Preservation review processes
- <929> Compliance with Tribal Business and Licensing requirements.

CONFIDENTIAL INFORMATION-SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION

(1000) Voice and Broadband Service Rate Comparability Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986 / OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636  
<015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.  
<020> Program Year 2018  
<030> Contact Name - Person USAC should contact regarding this data Perry Oster  
<035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.  
<039> Contact Email Address - Email Address of person identified in data line <030> postez@utma.com

<1000> Voice services rate comparability certification Yes

<1010> Attach detailed description for voice services rate comparability compliance

\_\_\_\_\_  
Name of Attached Document

Yes - Pricing is no more than the most recent applicable benchmark announced by the Wireline Competition Bureau

<1020> Broadband comparability certification

<1030> Attach detailed description for broadband comparability compliance

\_\_\_\_\_  
Name of Attached Document

**(1100) No Terrestrial Backhaul Reporting Data Collection Form**

FCC Form 481  
 OMB Control No. 3060-0986/OMB Control No. 3060-0819  
 July 2013

<010> Study Area Code 381636  
 <015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.  
 <020> Program Year 2018  
 <030> Contact Name - Person USAC should contact regarding this data Perry Oster  
 <035> Contact Telephone Number - Number of person identified in data line <030> 701.2565156 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com

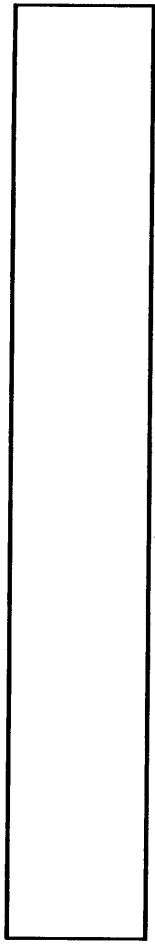
<1100> Certify whether terrestrial backhaul options exist (Y/N)  
 Yes

<1130> Please select the appropriate response (Yes, No, Not Applicable) to confirm the reporting carrier offers broadband service of at least 1 Mbps downstream and 256 kbps upstream within the supported area pursuant to § 54.313(g).

**(1200) Terms and Condition for Lifeline Customers  
Lifeline  
Data Collection Form**

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010> Study Area Code 381636  
 <015> Study Area Name TURTLE MOUNTAIN COMMUNICATIONS, INC.  
 <020> Program Year 2018  
 <030> Contact Name - Person USAC should contact regarding this data PERRY OSTER  
 <035> Contact Telephone Number - Number of person identified in data line <030> 7012565156 ext.  
 <039> Contact Email Address - Email Address of person identified in data line <030> poster@utma.com



<1210> Terms & Conditions of Voice Telephony Lifeline Plans

Name of Attached Document

<1220> Link to Public Website HTTP <http://www.utma.com/resources.php>

\*Please check these boxes below to confirm that the attached document(s), on line 1210, or the website listed, on line 1220, contains the required information pursuant to § 54.422(a)(2) annual reporting for ETCs receiving low-income support, carriers must annually report:

- <1221> Information describing the terms and conditions of any voice telephony service plans offered to Lifeline subscribers,
- <1222> Details on the number of minutes provided as part of the plan,
- <1223> Additional charges for toll calls, and rates for each such plan.

**(2005) Price Cap Carrier Additional Documentation:**

**Data Collection Form**

*Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers*

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<01D>	Study Area Code	381636
<01S>	Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<02D>	Program Year	2018
<03D>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<03S>	Contact Telephone Number - Number of person identified in data line <03D>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <03D>	postere@tclm.com

Select the appropriate responses below (Yes, No, Not Applicable) to note compliance as a recipient of Incremental High Cost support, High Cost support to offset access charge reductions, and Connect America Phase II support as set forth in 47 CFR § 54.313(b),(c),(d),(e). The information reported on this form and in the documents attached below is accurate.

**Incremental Connect America Phase I reporting**

<2011>	3rd Year Certification 47 CFR §54.313(b)(1)(ii) - Note that for the July 2017 certification, this applies to Round 2 recipients of Incremental Support.	
<2022>	Recipient certifies, representing year three after filing a notice of acceptance of funding pursuant to 54.312(c), that the locations in question are not receiving support under the Broadband Initiatives Program or the Broadband Technology Opportunities Program for projects that will provide broadband with speeds of at least 4 Mbps/1Mbps - 54.313(b)(2)(i). Round 2 recipients only.	
<2023>	The attachment on line 2024 includes a statement of the total amount of capital funding expended in the previous year in meeting Connect America Phase I deployment obligations, accompanied by a list of census blocks indicating where funding was spent. This covers year three - 54.313(b)(2)(ii). Round 2 recipients only.	
<2024A>	Round 2 Recipient of Incremental Support?	
<2024B>	Attach list of census blocks indicating where funding was spent in year three - 54.313(b)(2)(ii). Round 2 recipients only.	Name of Attached Document Listing Required Information
<2025A>	Round 2 Recipient of Incremental Support?	
<2025B>	Attach geocoded information for Phase I milestone reports (Round 2 for year three) - Connect America Fund, WC Docket 10-90, Report and Order, FCC 13-73, paragraph 35 (May 22, 2013).	Name of Attached Document Listing Required Information
<2015>	2016 and future Frozen Support Certification 47 CFR § 54.313(c)(4)	

(2005) Price Cap Carrier Additional Documentation  
Data Collection Form  
Including Rate-of-Return Carriers affiliated with Price Cap Local Exchange Carriers

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

**Price Cap Carrier Connect America ICC Support {47 CFR § 54.313(d)}**

<2016> Certification support used to build broadband

**Connect America Phase II Reporting {47 CFR § 54.313(e)}**

<2017A> Connect America Fund Phase II recipient?

<2017C> Total amount of Phase II support, if any, the price cap carrier used for capital expenditures in 2016.

<2018> Attach the number, names, and addresses of community anchor institutions to which the carrier newly began providing access to broadband service in the preceding calendar year - 54.313(e)(1)(ii)(A)

Name of Attached Document Listing  
Required Information

<2019> Recipient certifies that it bid on category one telecommunications and Internet access services in response to all FCC Form 470 postings seeking broadband service that meets the connectivity targets for the schools and libraries universal service support program for eligible schools and libraries located within any area in a census block where the carrier is receiving Phase II model-based support, and that such bids were at rates reasonably comparable to rates charged to eligible schools and libraries in urban areas for comparable offerings - 54.313(e)(1)(ii)(C)

<010>	Study Area Code	381636
<015>	Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

Select from the drop down menu or check the boxes below to note compliance with 54.313(f)(1). Privately held carriers must ensure compliance with the financial reporting requirements set forth in 47 CFR 54.313(f)(2). I further certify that the information reported on this form and in the documents attached below is accurate.

(3009) Progress Report on 5 Year Plan  
Carrier certifies to 54.313(f)(1)(iii)

Yes - Attach Certification

(3010A) Certification of Public Interest Obligations (47 CFR § 54.313(f)(1)(i))  
 (3010B) Please Provide Attachment  
 Name of Attached Document Listing Required Information  
 381636nd3010 - TM.pdf

(3012A) Community Anchor Institutions (47 CFR § 54.313(f)(1)(ii))  
 (3012B) Please Provide Attachment  
 Yes - Attach New Community Anchors  
 Name of Attached Document Listing Required Information  
 Copy of Anchor Institutions Template.xlsm

(3013) Is your company a Privately Held ROR Carrier (47 CFR § 54.313(f)(2))  
 (3014) If yes, does your company file the RUS annual report  
 (Yes/No)

Please check these boxes to confirm that the attached PDF, on line 3017, contains the required information pursuant to § 54.313(f)(2) compliance requires:

(3015) Electronic copy of their annual RUS reports (Operating Report for Telecommunications Borrowers)   
 (3016) Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows

(3017) If the response is yes on line 3014, attach your company's RUS annual report and all required documentation  
 Name of Attached Document Listing Required Information  
 381636nd3017 - TM.pdf

(3018) If the response is no on line 3014, is your company audited?  
 (Yes/No)

If the response is yes on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:

(3019) Either a copy of their audited financial statement; or (2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers

(3020) Document(s) for Balance Sheet, Income Statement and Statement of Cash Flows

(3021) Management letter and/or audit opinion issued by the independent certified public accountant that performed the company's financial audit.

If the response is no on line 3018, please check the boxes below to confirm your submission on line 3026 pursuant to § 54.313(f)(2), contains:

(3022) Copy of their financial statement which has been subject to review by an independent certified public accountant; or 2) a financial report in a format comparable to RUS Operating Report for Telecommunications Borrowers

(3023) Underlying information subjected to a review by an independent certified public accountant

(3024) Underlying information subjected to an officer certification.

(3025) Document(s) with Balance Sheet, Income Statement and Statement of Cash Flows

(3026) Attach the worksheet listing required information  
 Name of Attached Document Listing Required Information

(4005) Rural Broadband Experiment Additional Documentation  
Data Collection Form

FCC Form 481  
OMB Control No. 3060-0986/OMB Control No. 3060-0819  
July 2013

<010>	Study Area Code	381436
<015>	Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oatcer
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	poatcer@utma.com

**4005 Rural Broadband Experiment**

Authorized Rural Broadband Experiment (RBE) recipients must address the certification for public interest obligations, provide a list of newly served community anchor institutions, and provide a list of locations where broadband has been deployed.

**Public Interest Obligations – FCC 14-98 (paragraphs 26-29, 78)**

Please address Line 4001 regarding compliance with the Commission’s public interest obligations. All RBE participants must provide a response to Line 4001.

**4001.** Recipient certifies that it is offering broadband to the identified locations meeting the requisite public interest obligations consistent with the category for which they were selected, including broadband speed, latency, usage capacity, and rates that are reasonably comparable to rates for comparable offerings in urban areas?

**Community Anchor Institutions – FCC 14-98 (paragraph 79)**

**4003a.** RBE participants must provide the number, names, and addresses of community anchor institutions to which they newly deployed broadband service in the preceding calendar year. On this line, please respond (yes – attach new community anchors, no – no new anchors) to indicate whether this list will be provided.

If yes to 4003A, please provide a response for 4003B.

**4003b.** Provide the number, names and addresses of community anchor institutions to which the recipient newly began providing access to broadband service in the preceding calendar year.

\_\_\_\_\_

**Broadband Deployment Locations – FCC 14-98 (paragraph 80)**

**4004a.** Attach a list of geocoded locations to which broadband has been deployed as of the June 1st immediately preceding the July 1st filing deadline for the FCC Form 481.

\_\_\_\_\_

**4004b.** Attach evidence demonstrating that the recipient is meeting the relevant public service obligations for the identified locations. Materials must at least detail the pricing, offered broadband speed and data usage allowances available in the relevant geographic area.

\_\_\_\_\_

<b>Certification - Reporting Carrier Data Collection Form</b>	FCC Form 481 OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013
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<010>	Study Area Code	381636
<015>	Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020>	Program Year	2018
<030>	Contact Name - Person USAC should contact regarding this data	Perry Oster
<035>	Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext .
<039>	Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

**TO BE COMPLETED BY THE REPORTING CARRIER, IF THE REPORTING CARRIER IS FILING ANNUAL REPORTING ON ITS OWN BEHALF:**

<b>Certification of Officer as to the Accuracy of the Data Reported for the Annual Reporting for CAF or LI Recipients</b>	
I certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual reporting requirements for universal service support recipients; and, to the best of my knowledge, the information reported on this form and in any attachments is accurate.	
Name of Reporting Carrier:	
Signature of Authorized Officer:	Date
Printed name of Authorized Officer:	
Title or position of Authorized Officer:	
Telephone number of Authorized Officer:	
Study Area Code of Reporting Carrier:	Filing Due Date for this form:
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

Certification - Agent / Carrier Data Collection Form	FCC Form 481
	OMB Control No. 3060-0986/OMB Control No. 3060-0819 July 2013

<010> Study Area Code	381636
<015> Study Area Name	TURTLE MOUNTAIN COMMUNICATIONS, INC.
<020> Program Year	2018
<030> Contact Name - Person USAC should contact regarding this data	Perry Oster
<035> Contact Telephone Number - Number of person identified in data line <030>	7012565156 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	poster@utma.com

TO BE COMPLETED BY THE REPORTING CARRIER, IF AN AGENT IS FILING ANNUAL REPORTS ON THE CARRIER'S BEHALF:

Certification of Officer to Authorize an Agent to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I certify that (Name of Agent) <u>JSI</u> is authorized to submit the information reported on behalf of the reporting carrier. I also certify that I am an officer of the reporting carrier; my responsibilities include ensuring the accuracy of the annual data reporting requirements provided to the authorized agent; and, to the best of my knowledge, the reports and data provided to the authorized agent is accurate.	
Name of Authorized Agent:	JSI
Name of Reporting Carrier:	TURTLE MOUNTAIN COMMUNICATIONS, INC.
Signature of Authorized Officer:	CERTIFIED ONLINE Date: 06/28/2017
Printed name of Authorized Officer:	Perry Oster
Title or position of Authorized Officer:	General Manger/CEO
Telephone number of Authorized Officer:	7012565156 ext.102
Study Area Code of Reporting Carrier:	381636 Filing Due Date for this form: 07/03/2017
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

TO BE COMPLETED BY THE AUTHORIZED AGENT:

Certification of Agent Authorized to File Annual Reports for CAF or LI Recipients on Behalf of Reporting Carrier	
I, as agent for the reporting carrier, certify that I am authorized to submit the annual reports for universal service support recipients on behalf of the reporting carrier; I have provided the data reported herein based on data provided by the reporting carrier; and, to the best of my knowledge, the information reported herein is accurate.	
Name of Reporting Carrier:	TURTLE MOUNTAIN COMMUNICATIONS, INC.
Name of Authorized Agent Firm:	JSI
Signature of Authorized Agent or Employee of Agent:	CERTIFIED ONLINE Date: 06/28/2017
Name of Authorized Agent Employee:	Cassandra Heyne
Title or position of Authorized Agent or Employee of Agent:	Consultant
Telephone number of Authorized Agent or Employee of Agent:	3014597590 ext.
Study Area Code of Reporting Carrier:	381636 Filing Due Date for this form: 07/03/2017
Persons willfully making false statements on this form can be punished by fine or forfeiture under the Communications Act of 1934, 47 U.S.C. §§ 502, 503(b), or fine or imprisonment under Title 18 of the United States Code, 18 U.S.C. § 1001.	

**Attachments**

**Turtle Mountain Communications' Demonstration of Compliance with Applicable Service Quality Standards and Consumer Protection Rules:**

In establishing this certification in its *2005 ETC Order*,<sup>1</sup> the FCC found that an ETC must make "a specific commitment to objective measures to protect consumers."<sup>2</sup> The FCC found that for wireless ETCs, compliance with CTIA's Consumer Code for Wireless Service would satisfy this requirement" and that the sufficiency of other commitments would be considered on a case-by-case basis.<sup>3</sup> In this context, the FCC stated, "to the extent a wireline or wireless ETC applicant is subject to consumer protection obligations under state law, compliance with such laws may meet our requirement."<sup>4</sup>

Turtle Mountain Communications ("Company") hereby certifies that it is complying with applicable service quality standards and consumer protection rules. The Company is subject to consumer protection obligations under federal law and, to a limited extent under North Dakota state law as a telecommunications carrier subject to North Dakota Public Service Commission regulation. These obligations include, but are not limited to, the following: (1) adherence to state requirements that the Company complies with consumer protection and service quality standards pursuant to North Dakota Administrative Code Article 69, including customer requests for lowest price

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<sup>1</sup> *Federal-State Joint Board on Universal Service*, CC Docket No. 96-45, Report and Order, FCC 05-46 (rel. Mar. 17, 2005) ("*2005 ETC Order*").

<sup>2</sup> *Id.* at para. 28.

<sup>3</sup> *Id.* The FCC noted that under the CTIA Consumer Code, wireless carriers agree to: "(1) disclose rates and terms of service to customers; (2) make available maps showing where service is generally available; (3) provide contract terms to customers and confirm changes in service; (4) allow a trial period for new service; (5) provide specific disclosures in advertising; (6) separately identify carrier charges from taxes on billing statements; (7) provide customers the right to terminate service for changes to contract terms; (8) provide ready access to customer service; (9) promptly respond to consumer inquiries and complaints received from government agencies; and (10) abide by policies for protection of consumer privacy." *Id.* at n. 71.

<sup>4</sup> *Id.* at n. 72.

service alternatives (69-09-05-01), discontinuance of telecommunications services (69-09-05-02), deposits and guarantees (69-09-05-03); (2) truth-in-billing requirements, and (3) CPNI, Red Flag Rules and other applicable federal and state requirements governing the protection of customers' privacy.

The Company is subject to consumer protection obligations for broadband services under federal law. These obligations include, but are not limited to, the following: public disclosure of accurate information regarding network management practices, performance, and commercial terms of broadband internet access services; as a means of providing sufficient information for consumers to make informed choices regarding use of such services, and for content, application, service and device providers to develop, market, and maintain internet offerings as specified in F.C.C. 47 C.F.R. Part 8 §8.3. The Company furthermore will comply with all requirements set forth in the *2015 Open Internet Order* when it becomes effective.

## **Turtle Mountain Communications' Demonstration of Ability to Function in Emergency Situations**

Turtle Mountain Communications (“Company”) hereby certifies that it is able to function in emergency situations as set forth in §54.202(a)(2)<sup>2</sup> and North Dakota Administrative Code 69-09-05-12. The Company’s voice and broadband network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Specifically, each central office building can be supplied with standby generators and has battery back-up that enables the central office to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company’s central office can maintain 8 hours, plus or minus 15 percent, of battery reserve rated for peak traffic load requirements, and a permanent auxiliary power unit is installed or a mobile power source is available which can be delivered and connected within four hours. The Company has battery backup at all office locations and in its electronic equipment sites capable of running for a minimum of 8 hours, plus or minus 15

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<sup>2</sup> Section 54.202(a)(2) requires ETCs that are designated by the Commission to “demonstrate its ability to remain functional in emergency situations, including a demonstration that it has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations.”

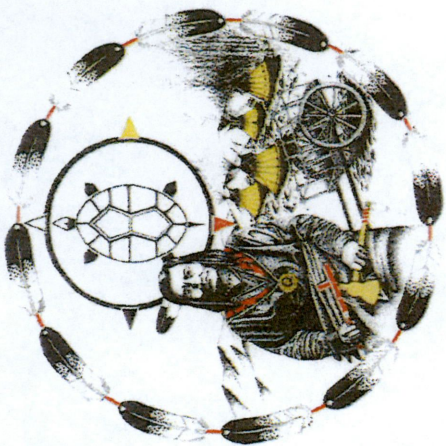
percent. Length of run time is determined by the equipment serving the area and the number of customers working out of the equipment. Generators are installed at twenty-two of the thirty-one Central Office locations with a mobile power source available at the other nine Central Office locations within four hours. They will continue to run as long as the Company has access to fuel. The Company tests the batteries at least once per year. The Company complies with the FCC's backup power requirements, effective October 16, 2015.







CONFIDENTIAL INFORMATION SUBJECT TO PROTECTIVE ORDER BEFORE THE FEDERAL COMMUNICATIONS COMMISSION



TURTLE MOUNTAIN BAND OF CHIPPEWA INDIANS  
**TRIBAL BUSINESS LICENSE**

DISTRIBUTED BY TURTLE MOUNTAIN TERO DEPARTMENT  
4180 HIWAY 281, PO BOX 900, BELCOURT, NORTH DAKOTA 58316

Turtle Mountain Communications - PO Box 729, 4511 7th Ave., Langdon, ND 58249

HAS BEEN GRANTED A BUSINESS LICENSE TO OPERATE A  
telecommunications

BUSINESS WITHIN THE JURISDICTION OF THE TRIBE

THIS LICENSE EXPIRES July 23, 2018

Stacy Baker

June 9, 2017



NOTE:

## Tribal Engagement Activities Conducted in 2016

March 31 Skydancer Casino – 9:45 a.m. to 3:15 p.m.

Kirsten Gendron, Customer Service Manager  
Ross Feil, Facilities Manger  
Tribal Council

Attend a open public Tribal Council meeting to discuss off the reservation utility companies providing service to Tribal members.

April 5, 2016

Ross Feil, Facilities Manager  
Jim Parisian, TERO

Meet with Jim to discuss TERO tax and paid balance due to the tribe.

April 5, 2016

Ross Feil, Facilities Manager  
Lyndon Desjarlais, Director of BIA

Meet with Lyndon about setting up service line agreements for applicants living on tribal land.

Lyndon will discuss with Tribal Chairman and try to resolve issue.

**Turtle Mountain Communications (SAC 381636)**

**Response to Line 3010 – Milestone Certification (47 CFR §54.313(f)(1)(i))**

Turtle Mountain Communications hereby certifies that throughout 2016, it took reasonable steps to provide upon reasonable request broadband service at actual speeds of at least 10 Mbps downstream/1 Mbps upstream, with latency suitable for real-time applications, including Voice over Internet Protocol, and usage capacity that is reasonably comparable to comparable offerings in urban areas and that requests for such service are met within a reasonable amount of time. If a request for broadband service at actual speeds of at least 10 Mbps downstream/1 Mbps upstream is unreasonable, the Company offers broadband service at the highest available speed.

Template for Reporting Community Anchor Institutions

Number	Name	Address
1	MINNKOTA POWER COOP INC	9880 84TH AVE NE, CALVIN ND
2	CHS INC	401 RAILROAD ST, CALVIN, ND
3	CHS INC	212 4TH AVE, LANGDON, ND
4	NORTHLAND COMMUNITY HEALTH	402 2ND AVE, ROLETTE, ND
5	DUNSEITH SCHOOLS	101 2ND AVE SW, DUNSEITH, ND
6	TM SUICIDE PREVENTION	9708 HWY 281, DUNSEITH, ND
7	TM HOUSING AUTHORITY	3061 HWY 281, DUNSEITH, ND
8	TM COMMUNITY COLLEGE	3878 HWY 281, DUNSEITH, ND
9	HEARTS OF HOPE	154 JOHN NORQUAY ST BST-2, BELCOURT, ND
10	PAROLE & PROBATION OFFICE	113 MAIN AVE, ROLLA, ND
11	TM HOUSING AUTHORITY	112 EDUCATION LANE NE, BELCOURT, ND
12	TM HOUSING AUTHORITY	4461 BIA ROAD 10, BELCOURT ND
13	TMBCI HEALING TO WELLNESS	155 JOHN NORQUAY ST, ROLLA, ND
14	TMBCI NEW PATHWAYS	1020 CAROLE JAMES ST, BELCOURT, ND
15	US PROBATION & PRETRIAL	916 CHIEF LITTLE SHELL ST NE, BELCOURT, ND
16	WILLOW MANOR	1009 LOUIS RIEL DR, BELCOURT, ND
17	NORTH CENTRAL ELEC CO OP INC	538 11TH ST W, BOTTINEAU, ND
18	WALHALLA CITY	1103 CENTRAL AVE, WALHALLA, ND
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