



PHONE (701) 355-5588

FAX (701) 794-3125

July 20, 2020

Mr. Dean Moos, Director  
Reclamation Division, NDPSC  
Department 408  
600 East Boulevard Avenue  
Bismarck, ND 58505-0480

RE: Revision 16 Renewal 5 to Permit BNCR-9401

Dear Mr. Moos,

This submittal is in response to your letter to us dated July 13, 2020 requesting affirmation of the business entity and violation information in the permit application. As requested, we have reviewed the latest updates to Section 1.7 Business Entity Information and Section 1.13 Past Violations. No changes were necessary for Section 1.7 or Section 1.13. A certification page signed and notarized by our President and GM is included with this submittal.

Thank you for your consideration of this matter. If you have any questions regarding this submittal, please contact me at the Center office.

Sincerely,

A handwritten signature in blue ink, appearing to read "Karene M. Hall". The signature is fluid and cursive.

Karene M. Hall  
Permit Coordinator

**BNI Coal, LTD.**  
**President's Certificate**

I, Wade Boeshans, do hereby certify that I am the duly appointed President and General Manager of BNI Coal, LTD, and as such, I am authorized to execute and deliver this certificate on behalf of BNI Coal, LTD.

I further certify, swear and affirm, under oath and in writing, that the business entity and violation information that is attached for Section 1.7 Business Entity Information and Section 1.13 Past Violations, dated July 14, 2020, and which is submitted as part of BNI Coal, LTD's Revision 16 and Renewal 5 to Permit BNCR-9401 is accurate and complete in accordance with North Dakota Administrative Code Chapter 69-05.2-06.

IN WITNESS WHEREOF, I have hereunto set my hand on this 14th day of July, 2020.



Wade Boeshans  
President and General Manager  
of BNI Coal, LTD

STATE OF NORTH DAKOTA

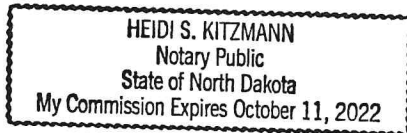
COUNTY OF BURLEIGH

Subscribed and sworn to before me this 14<sup>th</sup> day of July, 2020



Notary Public

[Seal]



**Ownership and Control Update – July 14, 2020**  
**Revision 16 Renewal 5**  
**BNCR-9401**

1.7.2 **CONTROLLING OFFICERS OF BNI AND ALLETE** ARE SHOWN BELOW. SOCIAL SECURITY NUMBERS ARE NOT PROVIDED SINCE THIS IS VOLUNTARY INFORMATION.

**Controlling Officers of BNI Coal, Ltd.**

<b>Name</b>	<b>Position</b>	<b>Effctv. Date</b>	<b>End Date</b>	<b>Address</b>	<b>Phone No.</b>
Alan R. Hodnick	Chairman	10-19		30 W. Superior	218-722-2641
	Board Chairman & CEO	05-10	04-14	Duluth, MN 55802	
Wade Boeshans	President & General Mgr.	10-14		PO Box 897	701-222-8828
	Treasurer	11-09		Bismarck, ND 58502	
		10-19			
Margaret A. Thickens	Secretary	10-19		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Violet Struss	Asst. Corp. Secretary	04-16		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Bethany M. Owen	Corp. Secretary	05-17	10-19		
Bradley W. Oachs	Board Chairman & CEO	10-14	06-19		
Sam E. McQuade	CFO, Treasurer, Asst. Corp. Secretary	10-16	06-19		
Deborah A. Amberg	Corp. Secretary	09-04	05-17		
Patricia E. Clement	Mgr. Fin. & Acct.	09-01	09-16		
	Treasurer, Asst. Corp. Secretary & Resident Agnt	04-82	09-16		
Donald J. Shippar	Brd Chrmn & CEO	05-03	04-10		
Robert D. Edwards	Brd. Chrmn & CEO	04-96	05-03		
Chas. M. Reichert	Pres. & Gnrl. Mgr.	01-89	08-01		
M. J. Hummel	Pres. & Gnrl Mgr.	08-01	11-09		
	VP of Fin & CFO	08-86	08-01		
P. R. Halverson	Secretary	01-89	09-04		

**Board of Directors of BNI Coal, Ltd.**

Name	Position	Effctv. Date	End Date	Address	Phone No.
Alan R. Hodnik	Chairman	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
	Director	04-14			
	Chairman & BNI CEO	05-10	04-14		
	Director	06-07	04-10		
Wade Boeshans	Director	11-09		PO Box 897 Bismarck, ND 58502	701-222-8828
Robert N. MacLennan	Director	05-11		5301 32nd Ave S Grand Forks, ND 58208	701-795-4000
Robert J. Adams	Director	09-01		30 W. Superior Duluth, MN 55802	218-722-2641
Patrick L. Cutshall	Director	05-17		30 W. Superior Duluth, MN 55802	218-722-2641
Bethany M. Owen	Director	05-17		30 W. Superior Duluth, MN 55802	218-722-2641
Margaret A. Thickens	Director	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
Allan S. Rudeck, Jr.	Director	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
Bradley W. Oachs	Chairman & BNI CEO	04-14	06-19		
	Director	05-10	04-14		
Deborah A. Amberg	Director	04-16	05-17		
Steven Q. DeVinck	Director	04-16	05-17		
David Loer	Director	01-91	11-10		
Donald J. Shippar	Chrmn & BNI Co.	05-03	04-10		
	Officer Member	04-96	05-03		
Robert D. Edwards	Chrmn & BNI Co. Officer	04-96	05-03		
Charles. M. Reichert	Member & BNI Co.	01-89	08-01		
	Officer				
M. J. Hummel	Member & BNI Co.	09-01	11-09		
	Officer				
Warren Candy	Director	09-02	06-07		
David G. Gartzke	Member	04-96	08-01		
Steve Sherner	Director	04-91	01-96		
	Member	01-96	04-98		
	Vice Chair	04-98	09-01		
	Director	09-01	08-02		

## Controlling Officers of BNI Energy, Inc.

Name	Position	Effctv. Date	End Date	Address	Phone No.
Alan R. Hodnik	Chairman	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
	CEO	04-16			
	Board Chairman & CEO	02-16	04-16		
Wade Boeshans	President & General Mgr.	02-16		PO Box 897 Bismarck, ND 58502	701-222-8828
	Treasurer	10-19			
Margaret A. Thickens	Secretary	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
Violet Struss	Asst. Corp Secretary	04-16		30 W. Superior Duluth, MN 55802	218-722-2641
Bethany M. Owen	Corp. Secretary	05-17	10-19		
Bradley W. Oachs	Chairman	04-16	06-19		
Sam E. McQuade	CFO, Treasurer, Asst. Corp. Secretary	10-16	06-19		
Deborah A. Amberg	Corp. Secretary	02-16	05-17		
Patricia E. Clement	Treasurer, Asst. Corp. Secretary	02-16	09-16		

## Board of Directors of BNI Energy, Inc.

Name	Position	Effctv. Date	End Date	Address	Phone No.
Alan R. Hodnik	Chairman	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
	Director & CEO	02-16	10-19		
Wade Boeshans	Director, Pres & GM	02-16		PO Box 897 Bismarck, ND 58502	701-222-8828
Robert N. MacLennan	Director	02-16		5301 32nd Ave S Grand Forks, ND 58208	701-795-4000
Robert J. Adams	Director	02-16		30 W. Superior Duluth, MN 55802	218-722-2641
Bethany M. Owen	Director	05-17		30 W. Superior Duluth, MN 55802	218-722-2641
Patrick L. Cutshall	Director	05-17		30 W. Superior Duluth, MN 55802	218-722-2641
Margaret A. Thickens	Director	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
Allan S. Rudeck, Jr.	Director	10-19		30 W. Superior Duluth, MN 55802	218-722-2641
Bradley W. Oachs	Chairman	02-16	06-19		
Deborah A. Amberg	Director	04-16	05-17		
Steven Q. DeVinck	Director	04-16	05-17		

## Corporate Officers of ALLETE

Name	Position	Effctv Date	End Date	Address	Phone No.
Alan R. Hodnik	Exec. Chairman	02-20		30 W. Superior Duluth, MN 55802	218-722-2641
	Chairman, CEO	05-10	02-20		
	President	05-09	01-19		
	COO-MP	06-07	05-09		
Bethany M. Owen	CEO	02-20		30 W. Superior Duluth, MN 55802	218-722-2641
	President	01-19			
	SVP & Secrtry, Chief Legal & Admin Officer	11-16	02-19		
Robert J. Adams	SVP, CFO	03-17		30 W. Superior Duluth, MN 55802	218-722-2641
	SVP-Energy Centric Bus. & CRO	11-15	03-17		
	VP-Energy Centric Bus. & CRO	06-14	11-15		
	VP-Bus. Dev. & CRO	05-08	06-14		
	VP-Util. Bus. Dev.	02-04	05-08		
	VP & CFO-MP Fin. & Bus. Dev.	01-02	01-04		
T. P. Giblin, Jr.	Asst. Secretary	05-03		30 W. Superior Duluth, MN 55802	218-722-2641
Steven W. Morris	VP, Controller & Chief Accting Officer	12-16		30 W. Superior Duluth, MN 55802	218-722-2641
Patrick L. Cutshall	VP, Corp. Treasurer Treasurer	12-17 01-16		30 W. Superior Duluth, MN 55802	218-722-2641
Nicole R. Johnson	VP-ALLETE & Chief Admin Officer	07-19		30 W. Superior Duluth, MN 55802	218-722-2641
	VP-Human Resources	08-16	07-19		
Margaret A. Thickens	VP, Chief Legal Officer & Corp. Secretary	02-19		30 W. Superior Duluth, MN 55802	218-772-2641
Bradley W. Oachs	SVP & Pres-Regltd Ops	11-16	06-19		
Patrick K. Mullen	SVP-External Affairs	04-17	06-19		
Deborah A. Amberg	SVP, Chief Strategy Officer-Regultd Ops	11-16	12-18		
	SVP, Genl Cncl & Secretary	05-06	11-16		
	VP, Genl Cncl & Secretary	03-04	05-06		
David J. McMillan	SVP	04-17	02-18		
	SVP-External Affairs	05-12	04-17		
	SVP-Mrktng, Regltry & Publ. Affairs	01-06	05-12		
	SVP-Mrktng & Publ.	10-03	05-06		
	SVP-MP Strat. Accts & Govt. Affairs	01-02	01-03		
	VP-MP Strat. Accts & Mrktng	05-01	12-01		
	VP-MP Elec Cstmr	01-98	05-01		

Name	Position	Effctv Date	End Date	Address	Phone No.
Steven Q. DeVinck	Solutions				
	SVP	07-14	05-17		
	CFO	07-14	03-17		
	CFO & SVP-Bus. Support	03-14	07-14		
	Controller & VP-Bus. Support	12-09	03-14		
Donald W. Stellmaker	Controller	07-06	12-09		
	VP & Corp Treasurer	08-11	12-15		
Timothy J. Thorp	Treasurer	07-04	08-11		
	VP-Inv Relations & Support Services	05-14	12-15		
	VP-Inv. Relations	05-05	05-14		
Bonnie A. Keppers	VP-Inv. Relations & Corp. Comm.	11-01	05-05		
	VP-ALLETE HR	04-11	03-15		
Eric R. Norberg	SVP-MP Strtgy & Planning	02-07	07-11		
	VP-MP Strategic Initiatives	04-02	01-07		
	VP-MP; COO-MPEX	05-01	03-02		
	VP-MP Elec Strtgc Accts.	01-88	05-01		
Mark A. Schober	SVP	03-14	06-14		
	SVP & CFO	07-06	03-14		
	SVP, CFO & Cntrlr	07-06	07-06		
	SVP-Acct/Tax & Audit, Controller	02-04	06-06		
	VP & Controller	04-01	01-04		
	Controller	05-97	04-01		
	Corp. Controller	03-93	05-97		
Donald J. Shippar	Chairman	05-09	05-11		
	Chairman & CEO	05-09	04-10		
	Chrmn, Pres. & CEO	01-04	05-09		
	Pres. & CEO	01-04	12-05		
	EVP-MP; Pres.-MP	05-03	01-04		
	Pres. & COO-MP	05-01	05-03		
	COO-MP Elec.	01-00	05-01		
	Sr. VP-MP Cust Serv & Delvry	01-98	12-99		
	VP-Trans. & Dstrbtn	05-97	12-97		
Ronald G. Porter	VP-ALLETE HR	09-07	07-10		
Warren L. Candy	SVP-Util. Ops.	02-04	07-05		
	VP-MP Gen. Ops	09-00	01-04		
	VP-MP Elec. Gen Ops	05-97	08-00		
	VP-Boswell	05-94	05-97		
John Cirello	EVP, Pres & CEO-AWS	05-01	08-01		
	EVP, Pres & CEO-MP	05-99	05-01		
	Water Resources				

Name	Position	Effctv Date	End Date	Address	Phone No.	
Donnie R. Crandell	EVP, Pres & CEO- FWS	05-98	05-99			
	EVP, Pres & CEO	05-96	05-98			
	Sthrn States Util.					
	EVP-MP	07-95	05-96			
	EVP, Pres & CEO-FWS:	05-02	07-03			
	Pres-AWS					
	EVP, Pres & CEO-	09-00	05-02			
	ALLETE Properties					
	EVP & Pres-MP Real	01-99	08-00			
	Estate Holdings					
Lawrence N. Engel	SVP & Pres-MP Real	08-95	01-99			
	Estate Holdings					
	SVP-Corp. Dev.	11-94	08-95			
	VP-Corp. Dev.	03-93	11-94			
	Asst. Controller	06-86	05-92			
	Roger P. Engle	VP	05-06	12-08		
	VP-MP; Pres SWL&P	05-97	05-06			
	VP-MP, Pres & COO-	01-96	05-97			
	SWL&P					
	VP-Custmr Relations	05-95	12-95			
Raymond G. Erickson	VP & GM-Cntrl Div.	05-93	05-95			
	Controller	05-84	05-93			
Thomas E. Ferguson	VP-Public Affairs	06-84	12-90			
Brenda J. Flayton	VP-MP Pwr, Dlvry &	02-00	12-03			
	Transmission					
John E. Fuller	VP-HR	07-98	05-04			
Laurence H. Fuller	EVP-MP; Pres & CEO-	01-99	09-01			
	Auto Fin. Corp					
Bruce E. Gangnon	SVP-MP; Pres & CEO-	04-97	01-99			
David G. Gartzke	Auto Fin. Corp					
James P. Hallet	VP-Corp. Dev.	01-97	08-01			
	Asst. Corp Controller	05-92	05-95			
	Chrmn, Pres & CEO	01-02	05-04			
	President	08-01	12-01			
	SVP-Finance & CFO	12-94	08-01			
	VP-Finance & CFO	03-93	11-94			
	VP & Treasurer	05-89	02-93			
Philip R. Halverson	EVP; Pres-Adesa	05-03	05-04			
	EVP; Chrmn, Pres &	05-02	05-03			
	CEO-ALLETE Auto					
Allen D. Harmon	EVP-MP; Pres & CEO-	04-97	05-02			
	Adesa					
	VP, Gen'l Cns'l & Secr.	01-96	05-04			
	Gen'l Cns'l & Secr.	03-93	12-95			
	Gen'l Cns'l & Asst.	01-91	02-93			
Allen D. Harmon	Secr.					
	Asst. Gen'l Cns'l &	05-89	01-91			
Allen D. Harmon	Secr.					
Allen D. Harmon	Group VP-Elec. Util	05-91	06-95			

Name	Position	Effctv Date	End Date	Address	Phone No.
	Ops				
Dennis L Hollingsworth	Group VP-Cust. Serv	01-89	05-91		
	Asst. VP-Corp Dev.	02-93	12-96		
	Asst. VP-Cash Mgmt	05-91	01-93		
	Asst. VP-Cash &	05-88	05-91		
	Portfolio Mgmt				
Michael J. Hummel	VP-MP; Pres & GM-BNI Coal	05-02	05-03		
David P. Jeronimus	VP-ALLETE; SVP-MP	05-02	09-04		
	Envr. Serv.				
	VP-MP Envr. Serv.	05-99	05-02		
Sean S. Macpherson	Asst. Secretary	01-89	05-03		
Jack R. McDonald	EVP-Fin & Corp Dev	05-93	12-95		
	Grp VP-Corp Dev.	05-91	05-93		
	Grp VP-Pwr Systems	05-90	05-91		
	Grp VP-Topeka	05-88	05-90		
Eugene G. McGillis	VP-MP; Pres-SWL&P	06-92	12-94		
	VP-Cust Ops.	04-88	05-92		
Thomas A. Micheletti	Grp VP-Core Support	05-91	03-93		
	Ops & Secretary				
	Grp VP-Gen'l Cns'l & Secretary	05-88	05-91		
Patrick K. Mullen	VP-ALLETE HR	05-05	02-07		
	VP-MP Dist Ops & Cust Serv	09-00	04-05		
	VP-MP Elec	02-00	08-00		
Bert T. Phillips	Grp VP-Water Res.	05-91	08-95		
	Ops				
	Grp VP-Topeka	02-90	05-91		
	Grp VP-Pwr Systems	05-88	01-90		
Charles M. Reichert	VP-MP; Pres & GM-BNI Coal	09-00	08-01		
	VP-MP; Pres-BNI Coal	07-93	08-00		
Kevin G. Robb	VP-Gnrtn; Pres-RREC	08-94	01-97		
	VP-MP; Pres-RREC	05-93	08-94		
James A. Roberts	VP-MP Publ Affairs	05-02	07-03		
	VP-Corp Relations	05-96	05-02		
Jack F. Rowe	Chrmn & CEO	07-79	05-89		
Edwin L. Russell	Chrmn, Pres & CEO	05-96	08-01		
	Pres & CEO	01-96	05-96		
	President	05-95	01-96		
Arend J. Sandbulte	Chairman & CEO	05-95	05-96		
	Chrmn, Pres & CEO	05-89	05-95		
	Pres & CEO	05-88	05-89		
Stephen D. Sherner	SVP-MP Strtgc Initiative	05-02	08-02		
	SVP-MP Energy Srcing	01-98	05-02		
	SVP-MP Elec Strtgy & Growth	01-97	12-97		

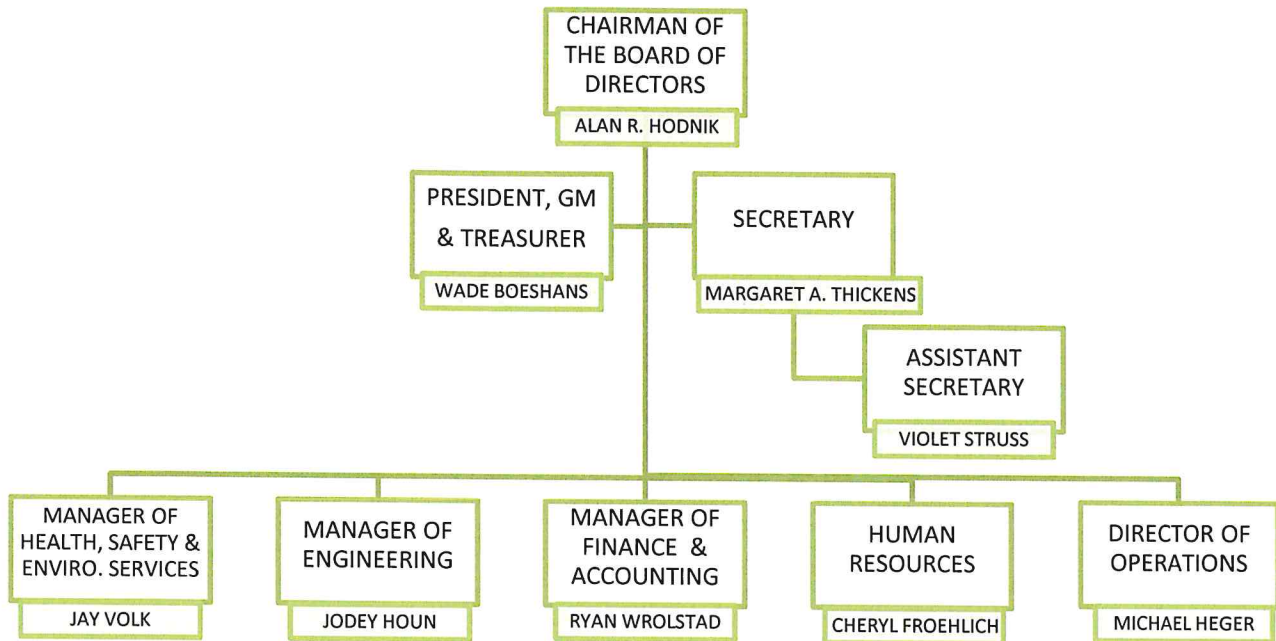
Name	Position	Effctv Date	End Date	Address	Phone No.
	VP-Mrktng & Cust Serv.	01-96	12-96		
	VP-Pwr Mrktng & Del	05-95	12-95		
	VP-Pwr Srcing & Del	05-93	05-95		
	VP-Strtgc Res Mgmt	05-91	05-93		
	VP-Syst Ops & Plnng	05-88	05-91		
Steven W. Tyacke	Asst. Gen'l Counsel	07-93	06-04		
Geraldine R. Vantassel	VP-Corp Info Systms	06-95	12-96		
	VP-Corp res Plnng	03-93	05-95		
	Corp Controller	05-92	02-93		
James K. Vizanko	SVP-Fin & CFO	07-04	06-06		
	SVP, CFO & Treasrer	01-04	07-04		
	VP, CFO & Treasrer	05-02	01-04		
	VP & Treasurer	04-01	05-02		
	Treasurer	03-93	04-01		
Claudia R-S. Welty	SVP-Spprt/Chief Admin Officer	02-04	12-09		
	VP-Strtgy & Tech Dev	05-03	01-04		
	VP-IT	05-99	05-03		
	SP-Supprt Serv.	05-97	05-99		

### Board of Directors of ALLETE

Name	Position	Effctv. Date	End Date	Address	Phone No.
Alan R. Hodnik	Chairman	05-11		30 W. Superior	218-722-2641
	Director	05-09		Duluth, MN 55802	
Kathryn W. Dindo	Director	07-09		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Heidi J. Jimmerson	Director	09-04		30 W. Superior	218-722-2641
				Duluth, MN 55802	
James J. Hoolihan	Director	05-06		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Madeleine W. Ludlow	Director	10-04		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Douglas C. Neve	Director	07-07		30 W. Superior	218-722-2641
				Duluth, MN 55802	
George G. Goldfarb	Director	10-12		30 W. Superior	218-722-2641
				Duluth, MN 55802	
Susan K. Nestegard	Director	01-18		30 W. Superior	218-772-2641
				Duluth, MN 55802	
Robert P. Powers	Director	08-17		30 W. Superior	218-772-2641
				Duluth, MN 55802	
Bethany M. Owen	Director	02-19		30 W. Superior	218-772-2641
				Duluth, MN 55802	
James S. Haines Jr.	Director	10-09	05-19		
Leonard C. Rodman	Director	05-09	05-19		
Sidney W. Emery Jr.	Director	05-07	05-19		

Name	Position	Effctv. Date	End Date	Address	Phone No.
Bruce W. Stender	Director	01-06	05-14		
	Chairman	09-04	12-05		
	Director	05-95	07-04		
Kathleen A. Brekken	Director	07-06	05-13		
		05-97	05-03		
Donald J. Shippar	Chairman	01-06	05-11		
	Director	09-04	12-05		
George L. Mayer	Director	05-96	05-10		
Jack I. Rajala	Director	05-85	05-10		
Wynn V. Bussmann	Director	05-02	09-04		
Wesley C. Cochrane	Director	05-84	05-89		
Merrill K. Cragun	Director	05-91	05-01		
Thomas L. Cunningham	Director	05-03	05-04		
Charles M. Denny, Jr.	Director	05-85	05-90		
Dennis E. Evans	Director	05-86	03-04		
Orlando G. Fladmark	Director	05-85	05-94		
David G. Gartzke	Chairman	04-02	09-04		
	Director	08-01	04-02		
Dennis O. Green	Director	06-03	09-04		
Clifford H. Grindy	Director	05-86	05-93		
D. Michael Hockett	Director	07-95	08-96		
Sister Kathleen Hofer	Director	05-94	05-96		
Glenda Hood	Director	05-00	01-03		
Peter J. Johnson	Director	05-94	05-07		
Mary Junck	Director	07-92	05-95		
Jack R. Kelly, Jr.	Director	01-96	09-96		
John H. Lemme	Director	05-66	05-91		
Robert S. Mars, Jr.	Director	05-70	05-95		
Paula F. McQueen	Director	05-93	12-98		
Robert S. Nickloff	Director	05-86	05-98		
Roger D. Pierce	Director	05-04	05-08		
Jack F. Rowe	Director	05-89	05-93		
	Chairman	07-79	05-89		
	Director	05-69	07-79		
Charles A. Russell	Director	05-85	05-96		
Edwin L. Russell	Chairman	05-96	08-01		
	Director	05-95	05-96		
Mary E. Ryland	Director	05-82	05-94		
Arend J. Sandbulte	NonExec. Chrmn	08-01	04-02		
	Director	05-96	08-01		
	Chairman	05-89	05-96		
	Director	05-83	05-89		
Nick Smith	Director	05-95	05-07		
D. C. Wegmiller	Director	05-92	09-04		
Deborah L. Weinstein	Director	06-03	09-04		

## ORGANIZATION OF BNI Coal, LTD



**CORPORATE OFFICERS:** Wade Boeshans, Violet Struss and Bethany Owen

### 1.13 PAST VIOLATIONS

There have been no violations or orders by any person who owns or controls BNI Coal, Ltd., BNI Energy, Inc. or ALLETE in the past 3 years.